



**Minutes of a Stated Meeting of the
Senate of New York University
February 16, 2017**

A stated meeting of the Senate of New York University was held on Thursday, February 16, 2017, at 9 a.m., in the Colloquium Room of the Global Center for Academic and Spiritual Life, 238 Thompson Street. The meeting was convened with Provost Katherine Fleming in the chair.

Tenured/Tenure Track Faculty Senators Council (“T-FSC”) Members Present: Allen Mincer (Chair), Sylvain Cappell, Arlene Davila, Stephen Duncombe, Nick Economides, Stephen Figlewski, Phyllis Frankl, Alexander C.T. Geppert (for Bradley Weslake), Bob Hoffman, Mikhail Iampolski, Angela Kamer, Robert Lapiner, Darcey Merritt, Niyati Parekh, Adam Ramey (by telephone), Dara Regaignon (for Dale Jamieson), Robert Shapley, Melissa Schilling (for Arun Sundararajan), Nadrian Seeman (for Jim Uleman), Amanda Watson, and Sharon Weinberg.

Full-Time Continuing Contract Faculty Senators Council (“C-FSC”) Members Present: Fred Carl (Chair), Aysan Celik (by telephone), Michael Ferguson, Sam Howard-Spink, Leila Jahangiri, Mitchell Joachim, Mary Killilea, Jung Kim, Brian Mooney, Peggy Morton, Joshua Paiz (by telephone), Vincent Renzi (for Ethan Youngerman), Jon Ritter (for John Halpin), Ezra Sacks, Iskender Sahin (for Joseph Borowiec), Larry Slater, Susan Stehlik, Ben Stewart, and Andrew Williams.

Student Senators Council (“SSC”) Members Present: Michael Avgerinos, Tamara Cesaretti, Ghania Chaudhry, Husniye Cogur, Naeem Crawford-Muhammad, Riyanna Dasgupta, Amanda Ezechi, Armando Figueroa, Reed Hodkin, Luciana Jaalouk (for Beamlek Hailemariam), Stephen Kho, Emeka Mba-Kalu, Pradeep Mulani, Joey Onwughalu, Andres Rabellino, Steven Ramdilal (for Rose Liu), Tara Ready, Amanda Regalado, Annie Seaman, and Louis Slade.

Deans Council Members Present: Thomas Carew (Chair), Charles Bertolami, Dominic Brewer, Julia Cartwright (for Cheryl Healton), Dennis Di Lorenzo, Anna Harvey, Randy Hertz (for Trevor Morrison), Carol Mandel, Beth Murray (for Peter Henry), David Schachter (for Sherry Glied), Louis Scheeder (for Allyson Green), Gabrielle Starr, Eileen Sullivan-Marx, Diann Witt (for Geeta Menon), and Susanne Wofford.

Administrative Management Council (“AMC”) Members Present: Raymond Lutzky (Chair), John DeSantis, Regina Drew, Pamela Kavalam, and Juan Tie.

University Administration Members Present: Robert Berne, Martin Dorph, Katherine Fleming, and Terrance Nolan.

Senate Committee Chairs (not mentioned above) Present: Arthur Tannenbaum.

Provost Katherine Fleming presided in lieu of President Andrew Hamilton, whose incoming flight was delayed. Provost Fleming called the meeting to order and welcomed members of the Senate.

Review and Approval of the Minutes of the December 8, 2016 Meeting

Upon motion duly made and seconded, the Minutes of the December 8, 2016 meeting were approved unanimously as presented.

STANDING COMMITTEE REPORTS

Executive Committee Report

Professor Fred Carl said that at its meeting on January 30th, the Executive Committee discussed the recent Executive Order on Immigration and its impact on the University community. The Committee also received additional updates from President Hamilton, including with respect to Governor Cuomo's recent proposal to provide free tuition for eligible students at New York State public colleges and universities and the possible implications for the state's private institutions, including NYU.

Academic Affairs Committee Report

Committee Chair Ben Stewart said that the Academic Affairs Committee was joined by the University Registrar, Beth Kienle-Granzo, at its meeting on January 26th to consider a request from Student Affairs regarding the Fall 2018 to Summer 2021 academic calendar. As a result of that request, Professor Stewart said that the Committee recommended changing the Fall 2020 calendar so that the semester would begin the Wednesday before Labor Day (September 2, 2020) instead of the Tuesday before Labor Day (September 1, 2020) to allow for an additional day for orientation. After the proposed calendar was submitted to the Senate, the Academic Affairs Committee was alerted by faculty at the Tandon School of Engineering that the proposed change to the Fall 2020 start date would create problems with the Tandon lab schedules. After consulting the Registrar and the Office of General Counsel, Professor Stewart stated that the Academic Affairs Committee is withdrawing its proposal and resolution to approve the University calendar for academic years 2018 to 2021. He further advised that the Committee would revisit the issue raised and submit a revised proposal and resolution at the next meeting of the Senate.

Professor Stewart then presented a proposal and resolution to revise the criteria for NYU's Founder's Day Award. He said that the Provost's office had asked the Committee to consider whether the Founder's Day Award should continue, and if so, whether the criteria should be modified. Currently, students with a grade point average of 3.5 or higher receive the Award, which is approximately 54% of graduating seniors. Professor Stewart said that the Committee recommends retaining the Award with the following changes: 1) Eligibility for the Founder's Day Award will be limited to the top 35% of graduates; Deans can identify an additional 5% of students from their schools to receive the Founder's Day Award based on

a service component without regard to GPA based on nominating criteria (e.g., types of service; nomination process; decision process) that the school develops; 2) The percentage of recipients for the Founder's Day Award will be calculated at the school level; and 3) GPAs will be calculated through the final semester of the students' senior year. Certificates and tassels will be sent to students thereafter.

Provost Fleming invited questions and discussion from the Senate. A question was raised about the change in when GPAs are calculated to determine eligibility and whether students would receive the tassels in time to wear at commencement. It was noted that students who receive eligibility prior to commencement will be able to wear the tassels, and those who become eligible once final semester calculations are completed will have their tassels mailed to them. It was requested that the Senate resolution be modified to reflect this clarification.

Upon motion duly made and seconded, the following resolution was approved unanimously as modified.

WHEREAS, upon request by the Provost's Office, the Senate Academic Affairs Committee ("SAAC") evaluated the Founder's Day Award, including whether to maintain or discontinue the award and the criteria for conferring it; and

WHEREAS, SAAC reviewed the matter and recommends retaining the Founder's Day Award with certain modifications as set forth more fully in the attached Senate Academic Affairs Committee Founder's Day Award Proposal to the Senate (Exhibit A).

NOW, THEREFORE, BE IT RESOLVED, that upon the recommendation of SAAC, the Senate recommends retaining the Founder's Day Award with the following modifications:

1. Eligibility for the Founder's Day Award will be limited to the top 35% of graduates; Deans can identify an additional 5% of students from their school to receive the Founder's Day Award based on a service component without regard to GPA based on nominating criteria (e.g., types of service; nomination process; decision process) that the school develops;
2. The percentage of recipients for the Founder's Day Award will be calculated at the school level;
3. GPAs will be calculated through the final semester of the students' senior year. Students who are eligible in time for commencement will be able to wear their tassels at the ceremony. Those who become eligible after the final semester calculations will receive their certificates and tassels thereafter.

Financial Affairs Committee Report

Anthony Jiga, Vice President for Budget and Planning, said that at its meeting on February 8th, the Financial Affairs Committee engaged in further discussion of the potential financial impacts resulting from possible law and policy changes under the new federal administration and Congress, as well as potential changes in NY State law. Steve Heuer, Assistant Vice President for Government Affairs, and Jennifer Pautz, Director of Government Affairs, gave presentations on the federal and state issues. The Tenured/Tenure Track Faculty Senators Council representatives also presented the T-FSC's preliminary budget recommendations for AY 2017-18.

Committee on Organization and Governance Report

The Committee on Organization and Governance Chair Larry Slater said that the Committee has decided to focus its work on the following: 1) reviewing the functions of the Senate Councils as stated in sections 65-69 of the University Bylaws; 2) providing recommendations for improving functioning of the overall Senate; 3) providing recommendations for improving the relationship between the Senate and the Board of Trustees; and 4) providing recommendations for improving Senate communications, such as the website.

Public Affairs Committee Report

Arthur Tannenbaum, Public Affairs Committee Chair, said that the Committee is tasked with reporting annually about government affairs and University community life. At the Committee's last meeting, they received a report from Steve Heuer, Jennifer Pautz, and Arlene Peralta of NYU's government relations and community relations team, which is attached to these minutes as Exhibit B. Professor Tannenbaum then invited Lynne Brown, Senior Vice President for University Relations and Public Affairs, to present the Senate with some highlights from that report.

Dr. Brown began with highlights related to federal government policy proposals. She said that there are four areas that the University is watching closely: 1) immigration policies and the potential impact on the free movement of NYU's faculty and scholars; 2) the federal budget and possible implications on student aid funding and federal research grants; 3) potential changes to tax policy that could impact incentives for charitable giving, impose restrictions or taxes on endowments, or impact the treatment of student loans; and 4) regulatory relief.

Dr. Brown then turned to issues in Albany and spoke to provisions in the New York State budget proposal that are of importance to the University. She said that Governor Cuomo's free tuition proposal, while laudable on many dimensions, currently excludes private colleges and universities. The proposal would also restrict eligible students from receiving their Tuition Assistance Program (TAP) awards at colleges or universities that have increased tuition and mandatory fees by an amount that exceeds the three-year average of the Higher Education Price Index (currently 2.3%) or \$500, whichever is greater. Schools

that fail to meet this standard would also be denied Institutional Direct Aid, which schools use for scholarship aid to students. Dr. Brown said that NYU is working closely with other private institutions, state associations, and local legislators to ensure the potential impact of these proposals is fully understood by lawmakers. Dr. Brown reported that 70 NYU students participated in the New York State Student Aid Advocacy Day in Albany on Tuesday, February 14th, that included more than 1,000 students from both public and private institutions from across the State.

On the New York City side, Dr. Brown said that NYU Government Affairs has been engaging the community and local elected officials about the University's plans and growing presence in Brooklyn, and the reception has been positive.

Dr. Brown then invited questions and comments from members of the Senate. There was discussion about how members of the NYU community can be alerted to opportunities to get involved in advocacy efforts, such as writing members of Congress. Dr. Brown said that at this point there are no specific federal policy proposals on the table and so some of the items discussed may never come to fruition. She noted that there will be a March for Science on April 22, 2017 (Earth Day) in Washington, DC that will be an opportunity for NYU to coordinate efforts with other institutions. In response to a question about whether there is a difference between the New York City area and upstate New York regarding some of the State funding issues, Dr. Brown noted that private colleges and universities upstate are weighing in heavily since the proposed changes would adversely affect them and their communities, who are often very reliant on the educational institutions in their areas.

In regard to NYU's response to the recent Executive Order on immigration, Dr. Brown said that President Hamilton joined 47 other presidents and chancellors of leading American colleges and universities to urge President Trump to rectify or rescind the order. In addition, Terrance Nolan, NYU's General Counsel, is working with outside counsel on preparing an amicus brief in support of enjoining implementation of the Executive Order. NYU also worked in cooperation with the Association of American Universities (AAU) on an amicus brief filed on behalf of their membership that features statements from President Hamilton on immigration.

COUNCIL REPORTS

Administrative Management Council (AMC)

AMC Chair Raymond Lutzky informed the Senate that the AMC held its fifth General Meeting of the year on February 7th at the Dibner Building on the Brooklyn campus, AMC's first meeting off Washington Square. He said that the meeting included a presentation from Dr. Brown on NYU's expansion in Brooklyn and a review of the Council's draft annual financial letter, which will be presented to the Senate Financial Affairs Committee soon. Dr. Lutzky reported that the nominations and elections process has begun for AMC Representatives, Senators, and officer positions for next academic year. He then reminded

the Senate that AMC's 2017 Recycling Drive continues through February 28th, in cooperation with multiple charities. He encouraged the Senate to visit the AMC website for a list of donation items and drop-off locations in Manhattan and Brooklyn.

Deans Council

Deans Council Chair Thomas Carew gave the Deans Council report of its most recent meeting on January 25th. He said the meeting was devoted to three discussions: 1) strategies for enhancing the University's ability to make innovative joint hires across our schools; 2) updating the description of the Deans Council's functions for incorporation into the University's Bylaws; and 3) the Stonewall Human Rights conference that will be held in 2019.

Tenured/Tenure Track Faculty Senators Council (T-FSC)

T-FSC Chair Allen Mincer said that the T-FSC approved the Stern Policy on Continuing Contract Faculty at its meeting on January 26th, as recommended by the Council's Personnel Policies and Tenure Modifications Committee. The T-FSC also received updates from its Administration and Technology Committee on the status of the Social Media Policy. Professor Mincer noted that this follows the Committee's work on the Personal Digital Content Policy, which has been approved and posted. Professor Mincer said that the Council received a report from its Faculty Benefits and Housing Committee, which has been studying the procedures NYU follows for determining how retirement funds are invested. Provost Fleming also joined the T-FSC meeting to discuss steps NYU is taking to maintain its trajectory of increasing excellence while facing the challenges of the present political climate. She also described a few potential government policy changes and their possible impact. Professor Mincer noted that at the meeting the Provost also remarked on the outstanding candidates that NYU has attracted in the several ongoing searches for Dean positions.

Full-Time Continuing Contract Faculty Senators Council (C-FSC)

C-FSC Chair Fred Carl provided the report of the Council's most recent meeting on February 2nd, at which they welcomed new Abu Dhabi Senator Aysan Celik and new Abu Dhabi Alternate Senator Wendy Bednarz. Professor Carl said the Council had a lengthy and robust discussion of the status of guidelines for appointment, reappointment, and promotion for continuing contract faculty that have been, and are being, developed by individual Schools and divisions. To date, the Council has completed reviews of seven School guidelines, five of which have been subsequently approved by the Provost and the Schools (guidelines for the Tisch Teachers, Liberal Studies, the Institute for the Study of the Ancient World, the Center for Urban Science and Progress, and Stern). Guidelines for NYU Shanghai, Tandon, and Wagner are currently under review, and Professor Carl said they expect to receive more guidelines during the semester.

Professor Carl said that the C-FSC and T-FSC jointly hosted a Spring Faculty Reception at the Torch Club on January 31st. He also noted that the C-FSC Steering Committee has

been invited to join the next Deans Council meeting on March 22nd. The next C-FSC meeting is scheduled for March 2nd.

Student Senators Council (SSC)

SSC Vice Chair Amanda Ezechi gave the Student Senators Council report. She said that the SSC released a response to the White House's recent Executive Order on immigration in support of impacted members of the University community. Alina Das, Co-Director of NYU's Immigrant Rights Clinic, and Josh Taylor, Associate Vice Chancellor for Global Programs, also discussed the impact of the Executive Order with members of the SSC. Ms. Ezechi said that Violet 100, NYU's official spirit week, will take place from February 17-26 and will include events that bring the community together. Finally, she noted that students will have an opportunity to participate in a Town Hall discussion with President Hamilton on March 1st.

AFFORDABILITY STEERING COMMITTEE REPORT

Provost Fleming invited Ellen Schall, Senior Presidential Fellow and Chair of the Affordability Steering Committee, to provide a report on further progress of the Affordability Steering Committee and the Affordability Working Group. Professor Schall began the discussion with an illustration depicting the broader context of the Committee's work in making the connection between affordability, access, and employability in producing education value.

Professor Schall said that there is recent evidence that NYU is meeting these values. In regard to access, she referenced a recent piece by the New York Times that reported on an extensive study by Raj Chetty, an economist at UC Berkeley, using federal tax data to look at economic mobility of graduates from over 2,000 colleges and universities. Professor Schall said that NYU is proud to rank 4th among top colleges enrolling the highest percentage of low- and middle-income undergraduate students whose parents filed U.S. tax forms. NYU also ranked 85th nationally and 8th compared to other elite colleges on the economic mobility index, which measures access and economic outcomes for undergraduate students, including the likelihood of moving up two or more income levels. NYU ranked 7th nationally in upper tail mobility, which calculates the percentage of graduates whose earnings were in the top 1% of the income distribution compared to others born that same year, while their parent earnings were in the bottom quintile.

In terms of employability, Professor Schall said that NYU's Wasserman Center for Career Development reported this spring that 96.2% of undergraduate respondents from the class of 2016 were either employed or enrolled in graduate or professional school within six months of graduating. Wasserman also reported an increase of mean starting salary, which is up 5.3% from the class of 2015 at \$61,478. Professor Schall added that salary is not the only measure of success upon graduation. She noted that NYU was ranked #1 on graduate employability as rated by employers in the United States in the Times Higher Education World University Rankings.

Professor Schall said that last year President Hamilton announced the lowest year-to-year increase in cost of attendance in 20 years, and NYU hopes to continue to slow the rate of increase again this year. She then introduced a new program to help address affordability called NYU *Accelerate*. Approximately 20% of NYU undergraduates already graduate in less than four years, and NYU *Accelerate* will help clarify and publicize alternative pathways for graduating in less than four years that are available to students. Professor Schall discussed three options for students to achieve acceleration, noting that students who bring more advanced credits will have more options. In response to a question about why the options presented did not make more use of summer and January term courses, Professor Schall said that the three options presented were just a few of the available possibilities that achieved maximum dollar savings. To assist students in identifying the best path for them, Professor Schall said that every undergraduate school at NYU now has a designated acceleration advisor. She added that there is also more clarity now on AP and IB credit transfers, and schools and departments are creating more opportunities for students to take two-credit courses.

Professor Schall then spoke about acceleration at the graduate level. Many NYU schools offer combined undergraduate/graduate degree options that reduce the cost of a master's degree and often decrease the time spent in graduate school. She added that NYU Wagner and NYU Stern have also developed new one-year master's degrees.

Professor Schall next referenced a document that was provided to the Senate at the meeting and is attached to these minutes as Exhibit C which highlights more of the progress around initiatives and actions that were announced last October. She said that the cost of textbooks was a major pain point for students, and collectively, the number of books required to be purchased has been reduced by 1,000. The NYU Libraries and bookstore are now also working together to alert faculty if a requested book or materials are available for free online. Further, the Libraries are putting books on reserve for students to access them in person without having to purchase them. Professor Schall said that by the end of next year, NYU hopes to reduce the costs of books by approximately 50%.

Professor Schall reported that additional shuttle services were added to help reduce the cost of commuting between the Brooklyn and Manhattan campuses. She said that Public Safety reported that ridership increased by 400%, from 4,500 rides in fall of 2015 to 18,000 rides in fall of 2016, with a collective savings of around \$37,125 (assuming a one-way subway ride). Professor Schall said that many students are also taking advantage of NYU's free access to the Scholly app to apply for external scholarships, with two reported scholarship wins so far. NYU will also launch iGrad by the end of February to provide financial education to students, alumni, and families.

SEXUAL MISCONDUCT AD HOC ADVISORY COMMITTEE REPORT

Provost Fleming invited Dean Gabrielle Starr, Co-Chair of the Ad Hoc Advisory Committee on Sexual Misconduct, to give a report on the Committee's work. Dean Starr presented a

summary of NYU's Sexual Misconduct Campus Climate Survey that was administered by National Campus Climate Survey (NCCS) in spring 2016 at NYU's campuses in New York, Abu Dhabi, and Shanghai. She reminded the Senate that the survey was designed to assess students' personal experiences and to measure how strongly students felt the University serves their needs in preventing sexual misconduct, as well as in reporting, investigating, adjudicating, and supporting them through a sexual misconduct incident.

Dean Starr said that they were pleased with the survey's 54.4% response rate. She reported that the survey findings show that students feel relatively confident that NYU is a safe place (93%) that takes sexual misconduct complaints seriously (92%) and responds fairly (90%). However, according to the survey, the number of students who actually report incidents that have occurred is very low. Among the 11.4% of participants who reported experiencing at least one nonconsensual sexual incident, 52.7% told no one and only 20.2% told someone who could provide medical or psychiatric help. Dean Starr said that targeting efforts to make sure students know where to go for help will be a top priority going forward. She added that they will also be looking to partner with experts across the City and in the NYU community to see how NYU can become a leader in preventing sexual assault. Dean Starr informed the Senate that the full report of the survey findings would be sent to the broader NYU community the following week with a letter from President Hamilton.

Dean Starr then invited questions and comments from members of the Senate. In response to a question about areas of concern that were not addressed by questions in the survey, Dean Starr noted that the NCCS asked NYU to avoid as much as possible changes to the questions used, though there were some changes to incorporate the international campuses. She added that they will want to keep the questions the same from year to year to establish a baseline for comparison. A concern was raised about the significant wait times that some students experience when seeking help from NYU counselors or therapists. Zoe Ragouzeos, NYU's Assistant Vice President of Student Mental Health and Director of Counseling and Wellness, said that an immediate intervention takes place within 48 hours of a reported incident but that there may be longer wait times for ongoing needs. Dean Starr added that because of limited staffing, NYU refers students to private providers for long-term care resources.

WORK-LIFE BALANCE AD HOC COMMITTEE UPDATE

Provost Fleming invited Carrie Meconis, Co-Chair of the Work-Life Balance Ad Hoc Committee, to give an update on the Committee's work. Ms. Meconis said that the work-life survey has been finalized and the Committee would like members of the Senate to help get the word out once it has been distributed. The Committee met with Sabrina Ellis, Vice President of Human Resources, and will be welcoming Karen Gulino, Associate Vice Provost for Strategy and Chief of Staff in the Office of the Provost, to upcoming meetings to ensure that the Office of the Provost, Human Resources, and the Committee are all working together toward the same end goal. Ms. Meconis said that the Committee will put forward its final recommendations at the Senate meeting in April.

REPORT OF THE PRESIDENT

Provost Fleming delivered the report of the President in President Hamilton's absence. She said that they have been closely following developments around immigration and will continue to support all students, scholars, administrators, and staff who are impacted by policy changes. Students and scholars from the seven countries impacted by the recent Executive Order on immigration were contacted within 24 hours of the order being issued, and the broader community was contacted within days. Provost Fleming acknowledged the work of NYU's Office of Global Services and the Immigrant Rights Clinic at the NYU School of Law who have been busy assisting impacted members of the NYU community. Although several students and scholars were directly affected, she said that everyone scheduled to be in New York this semester has been able to make it to campus. Provost Fleming then informed the Senate that NYU's Immigrant Rights Clinic would be holding a legal clinic and information session that evening from 6:00 to 10:00 p.m. at 20 Cooper Square called "Immigrant Rights in the Trump Era."

Provost Fleming then referenced some of the letters that NYU has signed expressing concerns regarding the Executive Order, including the letter signed by 48 top-tier universities referenced by Dr. Brown earlier in the meeting, a letter signed by many member institutions of the AAU, and a letter initiated by the American Association for the Advancement of Science. Provost Fleming then read an excerpt from the AAU letter: "The order specifically prevents talented, law-abiding students and scholars from the affected regions from reaching our campuses. American higher education has benefited tremendously from this country's long history of embracing immigrants from around the world. Their innovations and scholarship have enhanced American learning, added to our prosperity, and enriched our culture. Many who have returned to their own countries have taken with them the values that are the lifeblood of our democracy. America's educational, scientific, economic, and artistic leadership depends upon our continued ability to attract the extraordinary people who for many generations have come to this country in search of freedom and a better life."

Provost Fleming reiterated that NYU will do everything it can to protect all members of its community. She said the University will not permit federal officials on campus to gather information on students absent permission or lawful authority and will vigorously uphold privacy protections granted to students by federal law. She added that scholarship assistance will be maintained, and the University will fight all subpoenas and court orders that appear to be inappropriate. Provost Fleming encouraged members of the community to contact Josh Taylor with questions.

There being no further business, the meeting was adjourned at 10:20 a.m.

Respectfully submitted,
Jessica Graham
Director, Office of the Secretary

Senate Academic Affairs Committee Founders Day Award Proposal to the Senate

February 16, 2017

Background

- Top-ranking Baccalaureate candidates and graduates are named University Honors Scholars as a Commencement honor (the Founder's Day Award). Recipients receive a gold honors tassel, to be worn during Commencement Exercises, and an individually inscribed Founders Day Certificate that commemorates the incorporation of New York University.
- Eligibility for the honor is determined by the Office of the Registrar. Currently, the award requires a cumulative grade point average (GPA) of 3.500 or higher based on a minimum of 30 credits in courses taken at NYU. The degree GPA is used for September and January baccalaureate degree recipients. For May baccalaureate candidates, the cumulative GPA through the January term is used to determine eligibility. Students may receive the honor only once.
- The University Honors Scholar/Founders Day Award is separate from Latin Honors and has different criteria. Eligibility for one does not necessarily constitute eligibility for the other.

Senate Academic Affairs Committee (SAAC)

- In 2015-16 the Provost's office asked the Senate Academic Affairs Committee to consider whether to retain the Founders Day Award and, if so, whether to maintain its current eligibility requirements. After discussing the issue in several meetings, the issue was tabled until 2016-17.
- In 2016-17 the Committee convened a subcommittee which met to consider the following:
 - whether to retain the award,
 - if the award is retained, whether to decrease the number/percentage (currently approximately 54%) of students who receive the award,
 - if fewer students are to receive the award, by what criteria are they selected, and
 - whether to keep the tassel.
- The Subcommittee proposed the following plan to the full SAAC on 12.1.16 and it was passed unanimously with a quorum present.

Proposal

1. Reduce the number of recipients to the top 35% of graduates. This pool includes slightly more students than those who receive Latin Honors.
2. Calculate the percentage of recipients at the school level, which will therefore include a higher percentage of students from "tougher grading" schools.
3. Introduce an additional service component, which can be designed individually by schools to reflect their specific culture. Above and beyond the 35% eligible for Founders Day Awards, Deans' offices at the school level can identify an additional 5% of students

in each school to receive the service award regardless of GPA. Deans can decide on school's own nomination criteria (e.g., acceptable types of service could include University, school or community; who may nominate: faculty, other students, self; who weighs in on decision: student council, etc.).

4. In conferring the award, the University will not identify the criteria under which the student received the award (i.e., GPA or service); all recipients will be designated as receiving the Founders Day Award.
5. Keep the tassel.
6. Calculate the final semester's GPA for graduating seniors and mail the certificate and tassel to anyone who qualifies after the fact.



TO: Arthur Tannenbaum, Ph.D., Associate Curator, Collections & Research Services

FROM: Steve Heuer, Assistant Vice President for Government Affairs
Arlene Peralta, Senior Director for Community Engagement
Jennifer Pautz, Director of Government Affairs

DATE: February 9, 2017

RE: Government Affairs and Quality of Life Report to Public Affairs Committee
Chair, Senate Public Affairs Committee

GOVERNMENT AFFAIRS REPORT

Overview

Certain policies that have been advocated by the Trump Administration, Governor Cuomo and many in Congress have the potential pose significant challenges to NYU and the entire higher education sector. This report includes proposals that range from ideas that have circulated in recent years to actual proposals that are being supported by federal and state elected officials. It will be a long process getting from some of these ideas being surfaced to actually being firmed up in legislative language, let alone passed and signed into law. Therefore, not everything in this report -- in fact, only a certain portion -- may ever come to fruition. But we want to make sure we have surfaced every possible challenge or opportunity on the horizon so we can be prepared as an institution.

Federal Advocacy Priorities

Overall Federal Priorities

- ***Budget Items***
 - Core Student Aid Accounts: Funding for Pell, FWS and SEOG
 - Federal Research Agencies: Funding for NIH, NSF, DOE, DOD, NEH, IES)
- ***Policy Items***
 - Protect key university tax priorities related to charitable giving, endowments and student/institutional incentives
 - Protect the Funding for the Federal Research Agencies: NIH, NSF, DOE, DOD, NEH, IES)
 - Protect students and faculty from restrictive immigration policies

Specific 2017 challenges include:

- **Immigration:** The recent Executive Order on immigration has already impacted students and faculty from the seven impacted nations, and threatens to further impact their ability to travel to and from the U.S. As a candidate, President Trump Administration had threatened to discontinue the Deferred Action for Childhood Arrivals (DACA) Program, the Obama Administration program that allowed young people brought to the U.S. as children to be protected from certain immigration enforcement and be eligible for work authorization. However, recent statements by the Administration suggest that any changes to DACA will take a “humane” approach and treat these students fairly. In

addition, we are monitoring possible legislative efforts to change the H-1B visa program as it would relate to current university employees and future employment prospects for our high tech graduates.

- **Domestic Spending:** The incoming Trump Administration and Congressional Republicans are likely to push for reductions in overall domestic spending levels via the FY2018 Appropriations Process. This has the potential to impact higher education priorities such as student aid funding and federal research grants.
- **Scientific Research & Student Aid:** In general, the Trump Administration and the leadership in Congress have stated general support for the core student aid programs and the biomedical (NIH) and scientific (NSF, DOD Research) grant-making agencies that fund faculty research grants. However, should Congress and the Administration seek broad cuts in domestic spending, NYU Government Affairs is poised to advocate in support of the student aid and research accounts important to students and faculty. In particular, there is concern that Congress and the Administration will seek to cut grants in certain areas where they have long been critical (climate, fetal cell, social, behavioral and political science).
- **University Tax Issues:** With Congress expected to consider broad tax reform measures in the coming year, the higher education community is concerned about the elimination of certain incentives related to charitable giving, student tax credits, employee educational expenses, tuition remission and employee/faculty housing.
- **Endowments:** Congressional Republicans have long criticized universities with large endowments for not doing enough to reign in tuitions and fees. Some congressional proposals seek to mandate how universities spend their endowment proceeds and would limit individual deductions made to universities. NYU Government Affairs and the higher education community are working with Members of Congress to help them understand how university endowments are used for institutional financial aid and research support.

There are a few opportunities associated with the new Administration, such as:

- **Regulatory Relief:** President Trump and Congress are expected to consider legislation to reduce “burdensome and duplicative” federal regulations. The higher education associations have long pushed for eliminating many regulations and compliance mandates related to research grants and student aid processing. In addition, the Trump Administration has pledged to roll back many Obama era regulations, some of which – Gainful Employment, Teacher Prep, State Authorization – had a direct impact on NYU and other institutions.
- **Department of Defense (DOD) Research:** Under Trump and Congressional Republicans, DOD funding is likely to be prioritized. This could provide some opportunities for increased defense-related research grants coming to NYU faculty and Tandon’s technology incubator programs which are funded in partnership with the DOD.
- **Infrastructure:** President Trump has signaled support for an infrastructure package related to roads, bridges, railways and airports. There may be opportunities for increased funding for federal university transportation research.

Summary of New York State Advocacy Priorities

Governor Cuomo’s Executive Budget Proposal released in January included many provisions that would negatively impact students and private institutions throughout New York State if

brought to fruition. Each of these proposals will ultimately require legislative approval before a final budget is agreed to on or before April 1, 2017. Thus far in the budget process, legislators have indicated concerns and skepticism of the Governor's proposals as negotiations on the budget continue. Below is a brief summary of the proposals of primary concern:

- **Cuomo's Free Tuition Proposal:** The Executive Budget creates a new "Excelsior Scholarship" program for New York residents who enroll full-time at SUNY/CUNY institutions. Under the Cuomo proposal, students attending private colleges would not be eligible. Eligible students would come from families earning less than \$100,000 (adjusted gross) in 2017-18; \$110,000 in 2018-19; and \$125,000 in 2019-20.
- **Restrict Eligibility for Tuition Assistance Program (TAP) Funds to Students Attending Private Institutions:** The proposal would restrict eligible students from using their Tuition Assistance Program (TAP) awards at colleges or universities that have increased tuition and mandatory fees by an amount that exceeds the three-year average of the Higher Education Price Index (currently 2.3%) or \$500, whichever is greater.
- **Restrict Eligibility of Institutions to Receive State "Bundy" Aid:** Schools that fail to keep tuition increases in line with the above HEPI metric would also be denied Institutional Direct ("Bundy") Aid. While Bundy Aid is directly given to an institution, schools use these funds for scholarship aid to students.

At NYU, we have nearly 5,000 NYS students enrolled in undergraduate programs, 3,300 of those students received TAP (totaling \$11.6 million in funding) last year, that could be impacted by some of these proposals. Given this potential impact to our students, NYU Government Affairs is working closely with our fellow private institutions, state association, and local legislators to ensure the potential impact of these proposals is fully understood by lawmakers. Our message is that these proposals limit the ability of New York students to choose an institution that best fits their needs, both academically and personally, and that the proposals hinder measures NYU – and other private institutions – have taken to address affordability in recent years by limiting the long-held tradition of state support in accessing higher education.

Again, the proposals to restrict TAP and Bundy and create the state "Excelsior Scholarship" program are just proposals at this point. Before they can become law, it would require an agreement between the Governor and the Legislature – which is far from certain at this point.

Additional State Budget Proposals:

- **State DREAM Act:** The Governor also called for the enactment of the New York State DREAM Act, making state-funded educational aid programs available to young immigrants who were brought to the U.S. as children. NYU has long supported the NYS DREAM Act and will encourage its passage this year.
- **Funding for State "Opportunity Programs":** The Governor's Proposed Budget would reduce funding for all Opportunity Programs to FY 2015-16 levels, which removes the additional funding the Legislature added last year to these programs (specific levels are noted below). NYU Government Affairs will work closely with our statewide association to ensure funding for these programs is restored by the Legislature throughout the budget process.
 - o HEOP at \$29.61 million,
 - o Liberty Partnership Program at \$15.3 million,

- o STEP at \$13.8 million and CSTEP at \$9.98 million,
- o Education Opportunity Program (EOP) at \$26.81,
- o Teachers of Tomorrow at \$25 million; and
- o Teacher Opportunity Corp at \$450,000.

Next Steps

NYU Government Affairs, along with our associations and other interested parties are now engaged in robust advocacy efforts to make sure our views are heard and elected officials and others are aware of what might hurt us or help us. In addition to the Advocacy Days outlined below, President Andrew Hamilton was recently in Albany meeting with Legislative leaders and key members of Governor Cuomo's staff. President Hamilton also has a planned trip to DC in April to discuss federal issues of concern. NYU Government Affairs is also working with university faculty on similar advocacy trips to both DC and Albany.

NYU Government Affairs Student Advocacy Days

- Albany: Approximately 80 NYU students will participate in the New York State Student Aid Advocacy Day on Tuesday, February 14th that includes students from both public and private institutions from across the state.
- Washington, D.C.: NYU will hold its annual Washington DC Student Advocacy Day on Wednesday, April 5th with 30 students traveling to DC to advocate for financial aid programs.

Update on NYU in Brooklyn

- As we approach phase one of the opening of 370 Jay Street in the fall of 2017, NYU is focusing on academic programs in Brooklyn that intersect between technology and creativity. The University will be investing in support and facilities for academic programs in Brooklyn including renovations at 370 Jay Street and in Rogers Hall.
- 370 Jay Street will open in the fall of 2017 with the relocation of the Center for Urban Science and Progress in the top two floors of the building. Additional programs, including some from Tandon and MAGNET, will locate in 370 Jay Street over time.
- NYU Government Affairs has been engaging the community and local elected officials about our plans and growing presence in Brooklyn over the past year and the reception is very positive.
- This month, we began a monthly community newsletter for Brooklyn to help further connect our community to the local Downtown Brooklyn community. Our office will continue to expand relationships with local organization and partners as the growth in Brooklyn continues to take shape.

COMMUNITY ENGAGEMENT REPORT

Community Engagement - Events

- Our office continues to create free and open to the public, quality programming throughout the year that highlights the University's intellectual and creative capital. Examples include partnering with local nonprofits to host children's programming, participating in Open House New York, co-hosting the Annual Children's Halloween

parade with Community Board 2, partnering with the community by hosting a semiannual Edgar Allan Poe event, collaborating with the AMC and the 9th Police precinct on their annual toy drive, and many more.

Community Engagement - Community Groups

- Our office works with a variety of community groups throughout the year whether through event sponsorship, on-campus space reservations, connecting them to University resources, or collaborating with them on various events. Some of the organizations we partner with are: Village Alliance, Greenwich Village Chelsea Chamber of Commerce, Washington Square Music Festival, Union Square Partnership, Noho Bowery Stakeholders, Noho BID, Washington Square Association, Washington Square Park and Washington Square Park Conservancy, Remember the Triangle Fire Committee, Brooklyn Chamber of Commerce, Downtown Brooklyn Partnership, and various community boards and block associations, etc.

Community Engagement - Office Communications

- As the University's primary liaison with the community, our office communicates about a variety of items (construction, events, news, etc) through our office and construction webpage, monthly newsletters, email blast's, events blog, and via community meetings. To learn more or sign up for our newsletter visit www.nyu.edu/community

Update on 181 Mercer

- Work on 181 Mercer Street, the new multi-use building, commenced in February of 2016 and is expected to be completed in late 2021. It will house much-needed new classrooms, performing arts education and training spaces, a modern athletic facility, student and faculty housing, and approximately 7,500 square feet of space for a public atrium and community uses. This project also features a number of open space enhancements.
- A 181 Mercer Street open house, for the NYU community, was held December 8, 2016 with the architects, designers, and planners. Attendees were able to learn about and discuss the latest developments in the buildings use and design. There is also a 181 Mercer Street Open House Space, located at Washington Square Village Building 2, on West 3rd Street between Mercer Street and LaGuardia Place. It is open to the public and the NYU community on Tuesdays from 3:00pm-5:00pm and by appointment by emailing community.engagement@nyu.edu. This space features renderings, schematics, and a model, and will remain open for the duration of construction.
- There is a dedicated construction page for the project. To learn more visit the website at <https://www.nyu.edu/community/nyu-in-nyc/construction/current-projects/181-Mercer-Street.html>
- With the guidance of Council Member Margaret Chin and the City, NYU agreed that in addition to the approved plan for the superblocks, NYU would fulfill a series of commitments to the community. One of these commitments requires the formation of a Construction Committee by either the Borough President or Community Board 2. Borough President Gale Brewer elected to form the Committee with representatives from the local community and has invited her colleagues who represent the local area to join her. As part of the committee's formation, NYU has [designated a liaison](#) to participate in the committee and

respond to any issues or concerns raised. Meetings are held quarterly and the committee has met three times since its inception.

- NYU is committed to minimizing the effects of dust, noise, and traffic in the vicinity of the construction. The Restrictive Declaration details project requirements including construction mitigation measures, project phasing, and open space maintenance. The Restrictive Declaration also requires that an independent third party monitor oversee the implementation and performance of NYU's commitments and project components on behalf of the NYC Department of City Planning. With the approval of the Department of City Planning, Henningson, Durham & Richardson Architecture and Engineering, P.C. (HDR) has been retained as the independent third party monitor for the 181 Mercer Street project. HDR will oversee the implementation and performance of NYU's commitments and project components related to mitigation, monitoring, and the environment, as set forth in the Restrictive Declaration
- As part of NYU's commitment to improve public open space along Bleecker Street and LaGuardia Place, a landscape design was shaped over a 3-year period under the direction of the Department of Parks and the Department of Transportation, and with input from the Open Space Oversight Organization ("OSOO"). Work on this plan was completed last spring. Additionally, NYU will continue to fund the maintenance of these public open spaces.
- NYU completed the relocation of the dog run managed by the Mercer-Houston Dog Run Association (MHDRA) to an area of comparable size west of the 181 Mercer Street building on the Silver Towers block. To learn more about the Mercer-Houston Dog Run please visit: mercerhoustondogrun.org

Civic Engagement

NYU continues to demonstrate its deep commitment to civic engagement through its vast array of annual service and outreach projects both at our main campus in New York City, portal sites in Abu Dhabi and Shanghai, as well as throughout our 11 global study away sites.

Through the clinical and outreach programs of our professional schools, and the volunteer support of thousands of students, faculty, and staff, NYU continued to play a critical role in addressing community needs and in joining with hundreds of partnering institutions to make a significant impact upon the quality of life of our community, city and world.

On average each academic year, over 16,000 students engaged in some form of community service, contributing over 1.7 million hours of assistance to our local, national and international communities.

Examples of our impact include:

- With the largest program in the country since its inception in 1996, more than 750 students participate in America Reads/America Counts, each providing 10-12 hours per week of tutoring in one of 70 public schools in Manhattan, Brooklyn and the Bronx.
- NYU's Jumpstart is celebrating 10th year with a corps of 70 students working in small teams to help ensure academic success among 166 pre-K children.

- NYU's Community Fund, founded in 1982 and supported solely by volunteer donations by NYU employees, distributed over \$116K to local non-profits and \$31K to support the United Way of NYC in 2016.
- NYU College of Dentistry students continue to provide critical clinical care to over 50,000 low-income New Yorkers. The Smiling Faces, Going Places mobile dental care van performed over 10,000 screening visits and comprehensive preventive services to over 5,000 children.
- Over 250 students participate in over 20 Alternative Break trips, spending their winter and spring breaks in service to communities from Selma, Alabama to Shanghai, China and many destinations in between. Recent AB trips provided over 17,500 hours of service; cleaning parks, reading to children, building houses and experiencing new cultures.
- In the College of Nursing, 1,500 students are placed in community health settings to participate in experiential learning projects. Future nurse placements include settlement houses, hospitals, urgent care facilities and hundreds of non-profits whose clients benefit from this highly skilled “volunteer” support.
- Over 350 students within the Wagner School of Public Service, provide more than 30,000 hours of service, working in Capstone teams to address challenges with 90 nonprofits.

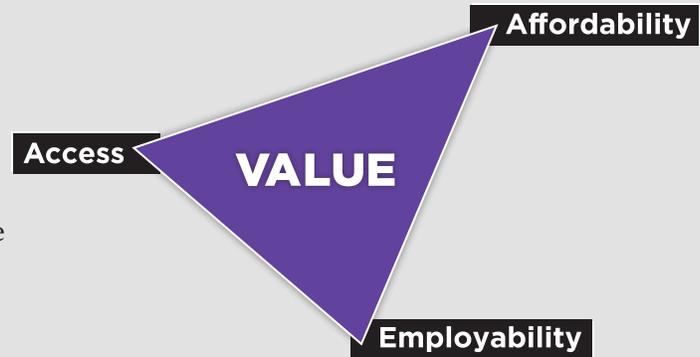


More Progress in Affordability

FEBRUARY 2017 UPDATES

A New Framework

As our work has progressed, we have come to see very clearly the connection between affordability and access of course – the need to make sure we are making it possible for the widest spectrum of students to enter NYU – but also between affordability and employability – the need to make sure our students feel well prepared for their next step, whether that is work or graduate school. The ultimate goal is an NYU that produces value, however each student defines that.



NYU *Accelerate*: Alternative Pathways to 128 Credits

We are pleased to report that we have clarified the process for NYU students to graduate in fewer than four years. During the fall 2016 semester, deans consulted with their faculty to discuss pathways to acceleration and have reported back to us in the following areas:

- As of January 2017, every undergraduate NYU school has designated acceleration advisors. Advisors are prepared to discuss AP and IB credits, transfer credits (including non-residential transfer credits), and course sequencing.
- Schools and departments are creating more opportunities for students to take 2 credit courses beyond those already in place. At the College of Arts and Science, four departments launched new 2 credit courses this year, with a combined enrollment of over 200 students, and several others are considering 2 credit options for next year, both here in NY and at our study away sites.
- Advisors and most undergraduate students now have access to the Academic Planner tool in Albert. This new tool helps students track and map their progress toward fulfilling degree requirements, making it easier to plan for and pursue acceleration options with their advisors. Academic Planner also displays a notice to students when their plan exceeds 8 semesters, and allows advisors to provide both approval and guidance.

Acceleration at the Graduate Level

We are also improving the visibility of existing opportunities to pursue shorter degrees:

- Most NYU schools offer dual degree options at the master's level that reduce the cost of a master's degree and, in most cases, the time spent in graduate school. So, for example, a student can earn a BA/MPA, a BA/MPH, or a BFA/MA in 5 years instead of the six it would normally take and thus save a year's worth of tuition—tens of thousands of dollars.
- At the graduate level, Wagner and Stern have developed new one year master's degrees.
- The Academics section of the NYU web site will provide links to individual schools for specifics on these accelerated options at the graduate level.

Compiled by the
**Affordability Steering
Committee
and Working Group**
nyu.edu/affordability

New Opportunities for Community College Transfers

With the support of a \$2 million grant, NYU Gallatin is developing a new articulation agreement with the Borough of Manhattan Community College (BMCC), similar to one that is already in place between BMCC and CAS for STEM students. Admitted BMCC students will begin taking one course at Gallatin in the fall of 2017 as sophomores and will transfer to Gallatin as juniors in the fall of 2018.

The Work Continues

The Steering Committee and Working Group are pleased to provide updates on some of the initiatives and actions we announced last October:

Textbooks	Number of books required by faculty from the NYU Bookstore decreased by 1,000—from 6,000 books required in spring 2016 to 5,000 titles in spring 2017.
Meal Plan Requirements	We will change the default meal plan for incoming students from the 300 meal/semester plan to the 225 meal/semester flex plan.
Campus Shuttles Between Brooklyn and Manhattan	Public Safety informs us that ridership increased by 400%—from 4,500 rides in fall of 2015 to 18,000 rides in fall of 2016. Assuming a one way subway ride, this saved students \$37,125 collectively.
Momentum Campaign	As of February 1, 2017, \$680,338,324 has been raised for the Momentum scholarship campaign—a total of \$34,642,492 in FY17.
Scholarships for U.S. Vets	NYU Stern announced a \$15 million endowment to fund the Fertitta MBA Veterans Program.
More On-Campus Jobs for Students	3,400 students have signed up to take part in WayUp. We are working across the University to reduce our reliance on temps and to create more on-campus jobs for students.
Crowdfunding Platform	Rising Violets, NYU's first crowdfunding platform, launched in October 2016 with eight campaigns. The goal was to engage 200 donors; we surpassed that goal with a total of 298 unique donors—45% of whom were first-time donors.
Free Access to Scholly	Nearly 4,000 people have used NYU's free access to Scholly—a gateway to private, external scholarships—as of February 2, 2017. Thus far, 1,217 scholarships totaling over \$4,171,250 in potential awards have been applied for or are in the process of being applied for. We have two reported wins.
Raise.Me “Micro-Scholarships”	The University is working with 10 tri-state area high schools that serve students from low-income areas. Seven of our 10 pilot high schools currently have students following NYU on Rasie.Me (a total of 157 students), 44 of whom are current seniors.
Making Better Financial Choices	iGrad will launch by end of February, and will provide financial education to all NYU students, alumni, and families. NYU faculty and staff will have access to resources available to students, as well as tools that will inform decisions about retirement, investment strategies, and whether to own or rent a home.