



**Minutes of a Stated Meeting of the
Senate of New York University
October 3, 2013**

A stated meeting of the Senate of New York University was held on Thursday, October 3, 2013, at 2:00 p.m., in the Colloquium Room of the Global Center for Academic and Spiritual Life, 238 Thompson Street. The meeting was convened with Dr. Sexton in the chair.

Faculty Senators Council Members Present: Raghu Sundaram (Chair), Everett Allgood, Mark Alter, Awam Amkpa, Adam Becker, Sylvain Cappell, Sewin Chain, Pamela Cowin, Ananda Dasanayake (for Nancy Van Devanter), Hasia Diner, Michael Dinwiddie, Benard Dreyer, Magued Iskander (for Maurizio Porfiri), Jim Jacobs, Warren Jelinek, Angela Kamer, Mitchell Kane, Rebecca Karl, Achiau Ludomirsky, Arthur Miller, Allen Mincer, Ann Morning, Arvind Rajagopal, Victor Rodwin, Eero Simoncelli, Celia Stewart (for Wen Ling), David Stokes, and Arthur Tannenbaum.

Student Senators Council Members Present: Mariam Ehrari (Chair), Corey Blay, Jean-Claude Cantave, Steve Cordova, Mason Dettloff, Griffin Dooling, Jessica Hawk, Derek Hedbanyand, Michael Hengerer, Harris Inskeep-Rosenfeld, Sameer Jaywant, Kevin Jones, Daniel Kao, Ethan McCooper, Annie McWilliams, Brian Plaut, Sathya Nellore Sampat, Danyal Syed, and Patrick Totaro.

Deans Council Members Present: Lynn Videka (Chair), Charles Bertolami, Patricia Carey (for Mary Brabeck), Thomas Carew, Dennis DiLorenzo, Scott Fritzen (for Sharon Glied), Randy Hertz (for Trevor Morrison), Joanne Hvala (for Peter Blair Henry), Carol Mandel, Gabrielle Starr, Kenneth Tabachnick (for Mary Schmidt Campbell), Kathleen Talvacchia (for Lauren Benton), Diann Witt (for Geeta Menon), and Susanne Wofford.

Administrative Management Council Members Present: David Vogelsang (Chair) John De Santis, Anita Dwyer, Kristi Schwindt Ramos, and Tatum Soo Kim.

University Administration Members Present: Robert Berne, Bonnie Brier, Martin Dorph, and David McLaughlin.

In Memoriam

Dr. Sexton commented that that it is our custom at the first Senate meeting of the year to remember each of the lives taken from our community over the last year. He mentioned the recent celebration of the life of Professor Ronald Dworkin, one of the world's leading philosophers and a great man, and noted that each of the lives lost could be celebrated. The General Counsel and Secretary of the Senate, Bonnie Brier,

read the names of the members of the University community whose deaths were reported since the Senate meeting of October 2012. After reading the names, the Senate observed a moment of silence. The list of names is attached to these minutes as Exhibit A.

Review and Approval of the Minutes of the April 18, 2013 Meeting

Upon motion duly made and seconded, the Minutes of the April 18, 2013 meeting were approved unanimously as presented.

REPORT OF THE PRESIDENT

Dr. Sexton acknowledged the birthday of Mariam Ehrari and led attendees in singing 'Happy Birthday' to her. He called on Arthur Tannenbaum, who reported that today is a moment in history, the 150th anniversary of President Lincoln making Thanksgiving an official holiday.

Dr. Sexton referred to the memorandum that was circulated to each Senator, and is attached to these minutes as Exhibit B, outlining some of the individual and collective achievements at the University since the Senate's last meeting. He reported that the Student Health Center's LiveWellNYU program was awarded a three-year federal grant from the Substance Abuse and Mental Health Services Administration in recognition of the Center's leadership in this field and is the first-ever grant for the Student Health Center. Dr. Sexton related that NYU was one of 30 recipients of the Jed Foundation's "seal of approval" for our comprehensive mental health services for students; the Jed Foundation is a leading nonprofit group that works to prevent suicide among college students. He commended Linda Mills, Vice Chancellor for Global Programs and University Life, Marc Wais, Vice President for Global Student Affairs, and the entire Wellness team for their efforts.

He also noted the memorandum at each senator's seat, which is attached to these minutes as Exhibit C, regarding the possible impact of a federal government shutdown on NYU.

COMMITTEE REPORTS

Executive Committee

Vice President of the Senate Mariam Ehrari reported that a meeting of the Executive Committee of the Senate was held on September 17, 2013, at which the University Senate officers for the 2013-2014 academic year and all committee assignments were approved; and those approvals were transmitted to all Senators with the materials for this meeting. The list of officers and the list of committee assignments are attached to these minutes as Exhibit D.

Committee on Organization & Governance

Dr. Jelinek reported that SCOG has made a recommendation for Senate representation for NYU-Poly, effective when it becomes NYU's School of Engineering on January 1, 2014, and that SCOG will be asking the Senate to vote on that recommendation at the next Senate meeting, with the expectation that the Board of Trustees will amend the Bylaws to reflect the recommendation at its December 2013 meeting. Specifically, he advised that SCOG recommends that an interim proposal be adopted for the remainder of the school year that would add one FSC member (the current observer), two SSC members (both a graduate and undergraduate representative as determined by the SSC), and the new dean as a member of the Deans Council, increasing the size of the Senate from 84 members to 88 members. He explained that SCOG will be making additional recommendations for the Senate's consideration in the spring to deal with other Senate representation issues, such as the full-time contract faculty (FTCF), and also will include more permanent recommendations with respect to Poly representation, with the expectation that the Board of Trustees will amend the Bylaws at its June 2014 meeting to reflect those recommendations.

Regarding Senate representation of the FTCF, Dr. Jelinek reported on the establishment of a Planning Committee on Contract Faculty Representation in the Senate, which will include FTCF from each school to provide advice and counsel to SCOG in development of a recommendation to the Senate. He advised that each school is to elect its representatives by November 1.

Dr. Jelinek noted that if the FTCF had proportionate representation in the Senate to the FSC without other changes in representation, this would add approximately 40 representatives, increasing the size of the Senate, including new members from the School of Engineering, to approximately 130 members. He stated that in development of its recommendation regarding FTCF, SCOG will consult with each Senate Council about three issues: size of the Senate; the necessity of maintaining the current proportion of representation from each Senate Council and on Senate committees; and the best model for representation to achieve desired balance and size. Dr. Jelinek stated that SCOG expects plans to bring its recommendation regarding FTCF representation to the Senate at the March 4, 2014 meeting.

Public Affairs Committee

Dr. Sexton referred to the Committee the proposals advocated by the NYU Student Labor & Action Movement (SLAM) with respect to ways that universities can help to ensure greater compliance with work safety standards.

COUNCIL REPORTS

Administrative Management Council

AMC Chair David Vogelsang welcomed the newest AMC, Senators Kristi Schwindt

Ramos and Tatum Soo Kim, and Alternate Senators, Eugenia Mejia and Bernadette McHugh. He reported that the AMC had its first meeting on October 1, at which Vice President for Human Resources Andrew Gordon, Senior Director of Global Compensation & Benefits Ann Kraus, and Director of Health & Welfare Benefits Yolanda Vega spoke about the Benefits Open Enrollment process, and provided updates on the Affordable Care Act and Defense of Marriage Act. He noted that the AMC will continue this year to address current tuition remission and health benefits costs, continuity of tuition remission approval and appeals processes, and equal access to child care benefits and resources. Mr. Vogelsang stated that the AMC continues to encourage its members to learn more about campus issues by attending events such as the space planning Town Halls.

Deans Council

Deans Council Chair Lynn Videka reported that the Deans Council met five times since the April Senate meeting and considered several topics including technology-enhanced education, the GNU, FTCF representation in the Senate, student and faculty diversity, and classroom scheduling.

Faculty Senators Council

Dr. Rangarajan (Raghu) Sundaram, Chair of the FSC, reported that the FSC held its Fall Gathering on September 12 and its beginning-of-the-year retreat on September 13, which was followed by a special two-hour session with the University Space Priorities Working Group. He related that the FSC held its first meeting of the year on September 19 at which the FSC passed a resolution that welcomed the Board of Trustees' decision to set up a Joint Committee at which faculty might interact directly with Trustees and noted the Trustees' commitment to provide faculty with a clear role in the presidential search process.

Student Senators Council

Mariam Ehrari, Chair of the SSC, reported that the SSC held its Fall Retreat and first meeting of the year, and will meet again after today's Senate meeting.

THE MOMENTUM CAMPAIGN

Dr. Sexton referred to four endowments: monetary, which is well below our peers; locational, which is the envy of our peers; structural, with the extraordinary GNU; and attitudinal, knowing that we "can do" and that we will always be better tomorrow than today. He advised that we are blessed to have Trustees and other benefactors who invest in the University. He noted that the University faces challenges in providing adequate financial aid due not only to its relatively low endowment compared to its peer schools, but also due to its high percentage of Pell Grant-eligible students, and the difficulty in raising funds for scholarships. He summarized some of the efforts the

University has undertaken in recent years to boost University-provided aid, which has increased 138 percent in the last decade to \$195 million in undergraduate financial aid annually, resulting in the average scholarship grant meeting 55 percent of a student's need, up from 34 percent 10 years ago. Dr. Sexton advised that despite these efforts, many NYU students and their families still struggle with the cost of attendance, which is why he has asked the Board of Trustees to lead a fundraising campaign focused solely on increasing financial aid. He spoke to the personal impact on students who want to be here and work two jobs to do so.

Dr. Sexton introduced University Trustee and Chair of the Trustee Development Committee Evan Chesler, a recipient of both undergraduate and law degrees from NYU, and now Chairman of the law firm Cravath, Swain & Moore LLP, who is leading the Momentum Campaign, a \$1 billion campaign over six years for financial aid. Mr. Chesler began by saying that the issue of financial aid is personal to him and to the President. He described his personal journey to NYU, where he was offered a scholarship as an undergraduate, an opportunity that allowed him to be the first in his family to attend college and that changed his life. He related NYU's urgent need for financial aid, the importance for middle class families to have access to financial aid, and that Trustees already have committed approximately \$200 million to the campaign.

Dr. Sexton thanked Mr. Chesler for his tireless fundraising efforts. He reiterated that a campaign of this magnitude will help NYU build an endowment that can continually generate funds for financial aid.

Dr. Sexton reported that even as the campaign got underway, we were instituting two new efforts to help students on the financial aid front: (1) a new "Finish Line" grant program, beginning this January, which is designed to lessen student loans as students approach their final semester; and (2) a new financial aid program that will begin next fall, called GPS: Global Pathway Scholarships, which is designed to ensure that students do not face an undue financial burden if they want to study away, by offering need-based grants up to \$4,000 to eligible students for study-away.

PRESIDENT'S QUESTION TIME

Dr. Sexton stated that he believed the discussion about the Momentum Campaign answered the FSC's request for comment on fundraising activities and the role of University Trustees in fundraising, particularly for student aid.

In response to the question from the Student Senators Council (SSC) about making NYU a more financially viable choice for international students, Dr. Sexton stated that he expects a portion of the funds raised in the Momentum Campaign to be used for international students, building on a program piloted this year, which awarded limited financial aid to international freshmen based on financial need and academic merit.

Dr. Sexton responded to the question from the Administrative Management Council (AMC) on the strengths and failings of "notice and comment" as a process for soliciting

input on decisions and its adaptability to a university setting, and the use of “crowdsourcing” as a possible tool to improve governance. He opined that “notice and comment” has worked well at NYU in a number of contexts, such as when considering the Academic Framework and the Re-Engineering program, but is not always as productive on topics where people are more passionate. He spoke to the importance of creating new voice mechanisms that meet current needs. He related that NYU launched a pilot program to assess the value of “crowdsourcing” to solicit guidance on technology-enhanced learning.

In response to the question from the SSC as to its perception that some faculty believe student opinion should have less weight than faculty opinion, Dr. Sexton stated that the faculty are at the core of the University but we are one community and all have a role to play. He spoke to the importance of valuing each other. He noted that the Senate is a forum where members from all University constituencies discuss important issues and decisions, and that the University must develop new ways to give voice to all members of the community. He noted that certain issues are more pertinent to certain constituencies and, therefore, the relevant constituency should have greater voice on those issues.

In response to the question from the Faculty Senators Council (FSC) about the votes of no confidence and vacation home story and their impact on his duration of service as president, Dr. Sexton spoke to spending his final three years in seeing his signature initiatives through. These include increasing financial aid for students, maintaining the University’s momentum and continuing to build on academic successes, continued development of the GNU, the integration of Poly, and improving University governance, among others. He noted past accomplishments on these fronts and that continued work must involve not only faculty and students, but all members of the NYU community. A Faculty Senator then suggested that the President had addressed only the potential benefits of his remaining in office and had not discussed the potential drawbacks, particularly resulting from the votes of no confidence and the New York Times article on University loans, which he felt reflected poorly on NYU. Dr. Sexton noted that there has been a diversity of opinion at NYU on these topics and the University’s loan program has played an important role in hiring, retaining, and housing faculty. He emphasized his dedication to working with all constituencies to make the University better, his pride in the University, and the desirability of avoiding the denigration of this great University.

There being no further business, the meeting was adjourned at 4:00 p.m.

Respectfully submitted,
Bonnie Brier
Secretary

NAME	TITLE	AFFILIATION	DATE OF DEATH
William Alexander	Adjunct Instructor	School of Continuing and Professional Studies	4/6/2013
Michel Beaujour	Professor Emeritus of French	Faculty of Arts & Science	4/3/2013
Aaron H. Beckerman	Adjunct Associate Professor of Medicine	School of Medicine	11/26/2012
Bogdan V. Bilan	Building Operator	Facilities & Construction Management	1/18/2013
Shamako Blackmon	Telecommunications Assistant	Stern School of Business	9/27/2012
Richard Brief	Professor Emeritus of Statistics and Accounting	Stern School of Business	5/24/2013
Jessica M. Bruno	Computer Support Technician	Division of Libraries	9/5/2012
Red Burns	Arts Professor of Interactive Telecommunications	Tisch School of the Arts	8/23/2013
Herve Byron	Adjunct Professor of Ophthalmology	School of Medicine	12/16/2012
Maurice Chassin	Retired Clinical Associate Professor of Medicine	School of Medicine	12/12/2012

NAME	TITLE	AFFILIATION	DATE OF DEATH
Frederick Choi	Dean Emeritus, Stern Undergraduate College, and Distinguished Service Professor of Business	Stern School of Business	10/2/2012
Irwin J. Cohen	Adjunct Professor of Ophthalmology	School of Medicine	2/10/2013
Norman Cohen	Research Professor of Environmental Medicine	School of Medicine	12/21/2012
Steven Cohen	Adjunct Instructor	Tisch School of the Arts	10/1/2012
Marcia Suezana Crawford	Student	College of Nursing	12/8/2012
Janice Cutler	Director of Undergraduate Studies for Chemistry	College of Arts & Science	11/20/2012
Errol G. Daniel	Electrician	Facilities & Construction Management	9/30/2012
Dolores Danilowicz	Clinical Professor of Pediatrics	School of Medicine	11/22/2012
Mansoor Day	Clinical Assistant Professor of Anesthesiology	School of Medicine	4/6/2013
Ronald Dworkin	Frank Henry Sommer Professor of Law	School of Law	2/14/2013

NAME	TITLE	AFFILIATION	DATE OF DEATH
Geoffrey Erb	Teacher, Undergraduate Film and TV	Tisch School of the Arts	6/20/2013
Ronald P. Esposito	Retired Associate Professor of Applied Psychology	Steinhardt School of Culture, Education & Human Development	10/10/2012
Phillip Evanski	Retired Associate Professor of Orthopaedic Surgery	School of Medicine	7/29/2013
Stuart Fink	Master Teacher of Management	School of Continuing and Professional Studies	11/17/2012
Wendell Garrett	Adjunct Instructor	School of Continuing and Professional Studies	11/14/2012
Matthew A. Geffner	Student	School of Continuing and Professional Studies	5/12/2013
Sheila Germain	Student	Gallatin School of Individualized Study	1/9/2013
Dinu Ghezso	Retired Professor of Music & Music Education	Steinhardt School of Culture, Education & Human Development	12/10/2012
Gerald J. Glasser	Professor Emeritus of Business Statistics	Stern School of Business	6/16/2013
Merrill Goodman	Accountant	Financial Operations & Treasury	6/30/2013

NAME	TITLE	AFFILIATION	DATE OF DEATH
Arnold Greenberg	Life Trustee	NYU Hospitals Center Board of Trustees	10/27/2012
Marian Hamburg	Professor Emerita of Health Education	Steinhardt School of Culture, Education & Human Development	10/10/2012
Marvin Hamburg	Former Director of Evening Sessions and Clinical Associate Professor of Operative Dentistry	David B. Kriser Dental Center	2/3/2013
Frederick B. Hansen	Manager of the FAS Fabrication and Research Facilities	Faculty of Arts & Science	4/2/2013
Evelyn B. Harrison	Edith Kitzmiller Professor Emerita of the History of Fine Arts	Institute of Fine Arts	11/3/2012
Richard Helman	Adjunct Associate Professor	School of Continuing and Professional Studies	1/14/2012
Joseph Hemmes	Academic Advisor	College of Arts & Science	8/19/2013
Nikolas Kalborg	Student	Tisch School of the Arts	1/11/2013
Thomas Kane	Former Alumni Trustee	Board of Trustees	1/12/2013
Bertram Katz	Adjunct Instructor	Gallatin School of Individualized Study	10/28/2012

NAME	TITLE	AFFILIATION	DATE OF DEATH
Felix Kaufman, PhD	Life Trustee	NYU Hospitals Center Board of Trustees	11/2/2012
Kenneth Kirschbaum	Clinical Professor of Psychiatry	School of Medicine	2/24/2013
Margarita Krochik	Student	Graduate School of Arts & Science	2/14/2013
Kyle Larson	Student	College of Arts & Science	11/20/2012
Racquel Z. LeGeros	Leonard I. Linkow Professor of Implant Dentistry	David B. Kriser Dental Center	1/14/2013
Bella Linden	Life Trustee	School of Law Foundation Board of Trustees	5/27/2013
Richard Lonsdale	Professor Emeritus of Educational Administration	Steinhardt School of Culture, Education & Human Development	3/11/2013
Owen Lynch	Charles F. Noyes Professor Emeritus of Urban Anthropology	Faculty of Arts & Science	4/26/2013
Gerald Marwell	Professor of Sociology	Faculty of Arts & Science	3/24/2013
Aaron G. Meislin	Retired Professor of Clinical Pediatrics	School of Medicine	6/20/2013
Alyssa L. Miller	Undergraduate Film and TV Student	Tisch School of the Arts	5/24/2013

NAME	TITLE	AFFILIATION	DATE OF DEATH
J. Anthony Morris	Adjunct Instructor	Faculty of Arts & Science	2/6/2013
Mitchell J. Murphy	Student	College of Arts & Science	9/13/2012
Rosita Natividad	Senior Teller and Customer Service Representative	Office of the Bursar	1/3/2013
Gail N. Nyberg	Programmer and Systems Analyst	Information Technology Services	1/27/2013
Dwight Opperman	Trustee	School of Law Foundation Board of Trustees	6/13/2013
Joseph Page	Former Dean of Admissions	Office of Undergraduate Admissions	1/7/2013
Mitchell S. Pines	Clinical Professor, Biomaterials, Biomimetics, Cariology & Comprehensive Care	David B. Kriser Dental Center	1/26/2013
Robert Porges	Professor of Obstetrics and Gynecology	School of Medicine	11/1/2012
Patricia Rock	Adjunct Instructor	Gallatin School of Individualized Study	4/29/2013
Albert Sanchez	Student	Polytechnic Institute of NYU	5/25/2013

NAME	TITLE	AFFILIATION	DATE OF DEATH
Robert S. Schoor	Clinical Associate Professor, Periodontology & Implant Dentistry	David B. Kriser Dental Center	11/17/2012
Emilia Sedlis	Clinical Professor of Pediatrics	School of Medicine	12/11/2012
Dhiru H. Shah	Clinical Assistant Professor of Otolaryngology	School of Medicine	12/14/2012
Ryan Sims	Student	College of Arts & Science	12/14/2012
Norman Sinrich	Adjunct Professor of Law	School of Law	5/13/2013
Robert J. Solomon	Instructor	Steinhardt School of Culture, Education & Human Development	11/21/2012
Adam Spector	Digital Projects Coordinator	Division of Libraries	6/21/2013
Brian M. Sumner	Clinical Assistant Professor of Medicine	School of Medicine	1/4/2013
Wlodzimierz Szer	Retired Professor of Biochemistry	School of Medicine	8/31/2013
Austin-Joseph Taylor	Student	Steinhardt School of Culture, Education & Human Development	8/5/2013

NAME	TITLE	AFFILIATION	DATE OF DEATH
Mikaela Lauren Tick	Student	Steinhardt School of Culture, Education & Human Development	7/26/2013
Gilbert Trachtman	Professor Emeritus of Applied Psychology	Steinhardt School of Culture, Education & Human Development	8/18/2013
Jose Vazquez	Teacher of Science	Faculty of Arts & Science	2/5/2013
Salvatore Vitale	Senior Claims Manager	Financial Operations & Treasury	8/31/2013
Roger Walker	Security Officer	Department of Public Safety	9/10/2012
Joan G. Westenholz	Research Scholar	Institute for the Study of the Ancient World	2/18/2013
Miguel Zapata	Student	Polytechnic Institute of NYU	12/2/2012

UPDATES FROM PRESIDENT JOHN SEXTON
University Senate Meeting
Thursday, October 3, 2013

As we begin the new academic year, I am pleased to update you on news and share announcements of the many honors and grants earned by the university community since we last convened in April.

NEWS

In August, the special committee established by the Board of Trustees to improve NYU's procedures and processes for governance and communication emailed the University community with an update on initial plans and proposals under consideration. The email laid out plans for a joint committee of trustees and NYU stakeholders and for faculty participation in the presidential search process, as well as offering clarification on NYU's loans and housing assistance practices. The text of the email can be found at <http://www.nyu.edu/about/leadership-university-administration/board-of-trustees/proposals-and-plans-on-governance-voice-and-communications.html>, and members of the NYU community are invited to contact the Board with questions or feedback at mlipton@nyu.edu.

Earlier this summer, the University Space Priorities Working Group submitted its Interim Report to the University community. The twenty-six member Working Group, constituted in September 2012 to examine NYU's projected space needs and to give advice on how they can best be met, reconvened this fall to continue its deliberations, to receive and consider responses to the Interim Report, and to deliver a Final Report scheduled for December 2013. The Working Group held two Town Hall meetings in September to hear feedback on this report and to inform members as they move to complete their task. The full Interim Report can be found at http://www.nyu.edu/content/dam/nyu/spacePriorities/documents/USPWG_Interim%20Report.pdf.

NYU was pleased to welcome three heads of state last week, during the opening of the UN General Assembly. The **President of Tunisia, Mohamed Moncef Marzouki**, delivered an address on "The Past, Present, and Future of the Arab Spring" at the Law School on September 24. NYU Africa House hosted the **President of Uganda, Yoweri Kaguta Museveni**, at its annual tourism forum on September 25; the following day, they hosted the **President of Ghana, John D. Mahama**, who delivered a presidential address.

The Student Health Center received two prestigious recognitions this week. The LiveWellNYU program has been selected as a 2013 Substance Abuse and Mental Health Services Administration (SAMHSA) grantee. The three-year award is the first-ever federal grant for the Student Health Center, and will be used to develop new models for engaging students around health issues and support its efforts to keep NYU as a leader and innovator in the field of college health. In addition, **NYU was one of 30 colleges nationwide to be awarded the JedCampus Seal** from the Jed Foundation, a non-profit organization that advocates suicide prevention among college students. Schools that demonstrate that they have comprehensive campus-wide mental health promotion and suicide-prevention programming are eligible to receive the seal.

RECENT APPOINTMENTS AND SEARCHES

On August 1, Sherry Glied became the new dean of the Wagner Graduate School of Public Service. Previously on faculty at Columbia's Mailman School of Public Health, Dean Glied is an economist whose

expertise in health care reform has led to important governmental posts, most recently as the Assistant Secretary for Planning and Evaluation in the U.S. Department of Health & Human Services. As we welcome Dean Glied, we also thank Ellen Schall for her superb leadership of Wagner over the past ten years.

Dennis Di Lorenzo has been named dean of the School of Continuing and Professional Studies, effective in September. Dean Di Lorenzo, who first joined the SCPS community in 1993, has been serving as Vice Dean and Interim Dean since 2011. In these roles, he distinguished himself as a terrific leader of the School, increasing graduate enrollment and overseeing new mechanisms for curriculum creation, among many achievements.

Mary Schmidt Campbell, Dean of the Tisch School of the Arts since 1991, has announced that she will retire from her position effective August 31, 2014. Recognized as a leading national advocate for the arts and humanities, Dean Campbell's tenure has been marked by highly successful innovation and the development of new areas of arts training and study. Among her achievements were the expansion of the Interactive Telecommunications Program and the graduate musical theatre writing program, the establishment of the Game Design Department at the new Multi-School Game Center (MAGNET), and significant improvements in both the academic qualifications and the diversity of the student body, among many others. We are delighted that she will continue to serve the TSOA community as a professor in the Department of Art and Public Policy and as Dean Emerita. A search committee for Dean Campbell's successor has been formed, under the leadership of Professor Sarah Schlesinger.

After having served ten years as dean of the Steinhardt School of Culture, Education, and Human Development, Mary Brabeck has announced that she will step down in May 2014. Dean Brabeck's tenure has had a transformative impact on Steinhardt, including the launch of a number of centers and innovative academic programs; an increase of the number of faculty by 33 percent; an exceptional growth in applications for Steinhardt's doctoral programs; a near tripling of annual giving and the school's endowment; and creation of a long-term, faculty-driven strategic plan. I am glad to report that she will remain a faculty member in Steinhardt's Department of Applied Psychology. I salute Dean Brabeck for her many achievements and thank her for her exceptional dedication and leadership. Details about the search committee for Dean Brabeck's successor will be announced shortly.

FACULTY HONORS

Anna Deavere Smith, University Professor of Performance Studies at the Tisch School of the Arts, has won the National Humanities Medal. The National Endowment for the Humanities awards up to 12 National Humanities Medals per year. Professor Smith, who was honored for her "portrayal of authentic American voices" as an actress and playwright, was presented the Medal by President Obama in a ceremony this summer. At the same ceremony, playwright and screenwriter **Tony Kushner (TSOA '84) was awarded the National Medal of Arts.**

The American Philosophical Society has awarded J. Anthony Movshon, University Professor and Director of the Center for Neural Science, its 2013 Karl Spencer Lashley Award in recognition of his "pioneering work on the neuroscience of vision." The Lashley Award, established in 1957, recognizes work in the area of integrative neuroscience, which explores how brain systems give rise to behavior.

E.L. Doctorow, the Lewis and Loretta Glucksman Professor in American Letters, will receive the National Book Foundation's Medal for Distinguished Contribution to American Letters this November at the National Book Awards. In its announcement, the foundation praised Professor Doctorow's work "for its vivid depictions of 19th- and 20th-century life, trenchant social criticism, and dazzling prose."

The American Academy of Arts and Sciences has elected three faculty members as 2013 fellows:

- **Martin Blaser**, Muriel G. and George W. Singer Professor of Translational Medicine, professor of microbiology, and director of the Human Microbiome Program, School of Medicine
- **David Chalmers**, professor of philosophy and director of the Center for Mind, Brain, and Consciousness, Faculty of Arts and Science
- **Alastair Smith**, professor of politics, FAS

The National Academy of Sciences has also elected three faculty members to its ranks:

- **David Heeger**, professor of psychology and neural science, FAS
- **Joseph LeDoux**, Henry and Lucy Moses Professor of Science, FAS; professor of psychiatry, School of Medicine
- **Ruth Nussenzweig**, C.V. Starr Professor of Medical and Molecular Parasitology, NYU Langone

Glenn Eichler, adjunct instructor in the Goldberg Department of Dramatic Writing at the Tisch School, won a Primetime Emmy Award for Outstanding Writing For A Variety Series for *The Colbert Report*. Seven NYU alumni also won Emmy Awards; see the Alumni section.

President Obama has nominated Katherine O'Regan, professor of public policy and planning at the Wagner Graduate School of Public Service, to be the new assistant secretary for policy development and research at the U.S. Department of Housing and Urban Development (HUD). The assistant secretary directs HUD's independent research and policy arm, whose activities are designed to have immediate relevance to the policy issues facing the HUD secretary.

Steinhart Dean Mary Brabeck has been elected chair of the Council for the Accreditation of Educator Preparation's (CAEP) inaugural Board of Directors. CAEP is a newly formed nonprofit that accredits educator-preparation programs according to new standards that raise the bar for entry into teaching, measure programs by their impact on student learning, and focus on ensuring that teachers are prepared to help a diverse student population meet complex standards.

Michael Purugganan, Dean for Science and the Dorothy Schiff Professor of Genomics, has been elected to the Board of Trustees of the Alfred P. Sloan Foundation. The New York-based foundation makes grants in support of original research and education in science, technology, engineering, mathematics, and economic performance. Dean Purugganan was one of two new trustees elected this year.

David Garland, Arthur T. Vanderbilt Professor of Law at the School of Law and professor in the department of sociology, and Robert Young, Julius Silver Professor of English and Comparative Literature, have been elected to the British Academy as Corresponding Fellows. Corresponding Fellow is the designation given to those chosen from universities outside the UK; just 15 new Corresponding Fellows were selected this year. Professor Garland is considered one of the world's leading sociologists of crime and punishment. Professor Young is an expert in a range of interdisciplinary cultural fields, notably: postcolonial literatures and theory; the history of colonialism and anti-colonialism; and cultural history of the 19th and 20th centuries.

Katepalli Sreenivasan, president of NYU-Poly, has been elected to Accademia dei Lincei, a Rome-based scientific academy whose early members included Galileo Galilei. President Sreenivasan joined NYU in 2009 from the International Centre for Theoretical Physics in Trieste, Italy, where he was director.

The U.S. Department of Housing Preservation and Development has announced that a consortium including the Wagner Graduate School of Public Service will serve as the National Resource Network administrator under the new White House Strong Cities, Strong Communities (SC2) initiative. The SC2 Network will provide cities with targeted technical assistance to help support locally identified priorities for economic growth and job creation. Wagner's involvement is being led by **Neil Kleiman, director of the NYU Wagner Innovation Labs.**

Antonio Muñoz Molina, Banco Santander Distinguished Global Visiting Professor of Creative Writing, has been awarded the Prince of Asturias Award for Literature. Professor Muñoz Molina, a novelist and native of Spain, teaches in the Department of Spanish and Portuguese Languages and Literatures' Creative Writing in Spanish MFA program.

Paula England, professor of sociology, has been elected President of the American Sociological Association. Professor England's research interests include changing family patterns, sexual behavior, contraception, gender, and labor markets.

Fei Li, assistant professor of biology, has been selected as a Pew Scholar in the Biomedical Sciences by the Pew Charitable Trusts. The Pew Scholarships—\$240,000 over four years to each recipient—provide funding to early-career scientists researching the basis of health problems. One of 22 Pew Scholars in the Biomedical Sciences, Professor Li will continue his research on the role of the centromere in cancer.

Yann LeCun, professor at the Courant Institute of Mathematical Sciences and director of the Center for Data Science, has been named the recipient of the IEEE Neural Networks Pioneer Award, which is given by the Computational Intelligence Society of the Institute of Electrical and Electronics Engineers (IEEE) and recognizes contributions to the field at least 15 years prior to the award.

Aristides Patrinos, CUSP's deputy director for research, is one of five scientists who will be honored with a Lifetime Achievement Award next year by the National Council for Science and Environment in recognition of their work establishing the U.S. Global Change Research Program.

NYU chemists have been recognized in *R&D Magazine's* R&D 100 Awards honoring "the 100 most technologically significant products introduced into the marketplace over the past year." Professor of chemistry **Bart Kahr was honored,** along with two former NYU post-doctoral fellows and a scientist at Oregon-based Hinds Instruments, for their creation of an instrument that evaluates the viability of optical displays in consumer and industrial products.

The Society of American Archivists (SAA) has presented the NYU community with two recent awards. The Asian/Pacific/American Institute won the 2013 Diversity Award for its work in building archives documenting Asian/Pacific American histories in New York and on the East Coast. **Peter Wosh, clinical associate professor of history and director of the Archives and Public History Program, received the 2013 Council Exemplary Service Award.** The award honors his work as the SAA Publications Editor.

Marion Nestle, Paulette Goddard Professor of Nutrition, Food Studies, and Public Health at Steinhart, has received a 2013 James Beard Foundation Leadership Award for her "research and writing on how science and society influence dietary advice and practice and the impact it has had on our food system and in improving the way America eats."

Alina Das, assistant professor of clinical law at the School of Law, was the 2013 recipient of the Daniel Levy Memorial Award for Outstanding Achievement in Immigration Law from LexisNexis.

The award honors an individual who demonstrates enthusiastic advocacy on behalf of immigrant clients, deep scholarship in immigration law, and an expansive vision of justice.

Samuel Estreicher, Dwight D. Opperman Professor of Law, was the recipient of the 2013 Samuel M. Kaynard Award for Excellence in the Fields of Labor & Employment Law from the *Hofstra Labor & Employment Law Journal*. The award is given annually in recognition of those who hold strong ideals, display keen legal acumen, and make outstanding contributions to the fields of labor and employment law.

Ann Morning, associate professor of sociology, has been named to the U.S. Census Bureau's National Advisory Committee on Racial, Ethnic, and Other Populations. The Committee advises the federal agency on variables that affect the cost, accuracy, and implementation of Census Bureau programs and surveys.

Lisa Duggan, professor in the department of social and cultural analysis, has been elected president of the American Studies Association. Professor Duggan's research interests are modern U.S. cultural, social, and political history; history of gender and sexuality; and lesbian and gay studies.

Hasia Diner, professor of Hebrew and Judaic studies, professor of history, and the Paul S. and Sylvia Steinberg Professor of American Jewish History, has been elected president of the Immigration and Ethnic History Society. She has published books on the mutual impact of America and the Jews and the histories of several other European immigrant groups in the U.S.

Jeffrey Rubenstein, professor of Hebrew and Judaic studies and Skirball Professor of Talmud and Rabbinics, was elected to the American Academy for Jewish Research. Professor Rubenstein's research specialties include Rabbinic stories, Talmud, Jewish law, Jewish liturgy, and ethics.

The College of Nursing's James Pace, Associate Dean for Undergraduate Programs and clinical associate professor, has been selected as a Fellow of the American Academy of Nursing. He is one of 172 nurse leaders from around the world to be inducted into the Academy this year.

Zhong-Ping Jiang, professor of electrical and computer engineering at NYU-Poly, has been elected a fellow of the International Federation of Automatic Control, a multinational federation of engineering and scientific societies. Only a few IFAC fellows are elected each year, and Professor Jiang is one of the younger scientists to have received the honor.

Brian Schmidt, professor in the department of oral and maxillofacial surgery at the College of Dentistry and in the departments of surgery and neuroscience and physiology at NYU Langone Medical Center, was one of six experts in pain management chosen to be Fellows of The Mayday Pain & Society Fellowship. The Fellowship provides pain care leaders with skills to advocate for effective pain management, including how to better communicate with the media, policymakers, and others.

Robert Roach, University Chief Compliance Officer and an adjunct professor at the Stern School of Business, was one of three recipients of the 2012-13 First Decade Award given by the National Association of College and University Attorneys (NACUA). NACUA presents the First Decade award to members who have made a significantly innovative contribution to NACUA or provided outstanding service to the Association and to the practice of higher education law. As Chief Compliance Officer, Bob oversees the University's Ethics, Compliance and Risk Management programs.

Nikhil Gupta, an NYU-Poly associate professor of mechanical engineering, received the American Society of Metals International Silver Medal, which recognizes a career of distinguished contributions in the field of materials science and engineering and service to the profession. Professor Gupta's work focuses on lightweight materials with high damage tolerance for helmets, body armor, and vehicle structures—research with enormous potential for making those products not only lighter but safer.

Myrna Cardiel, clinical assistant professor in the department of neurology at NYU Langone Medical Center, has been selected as the winner of the Health Services Award by the New York League of Puerto Rican Women, Inc. She was honored at the League's annual gala in August.

Richard Wener, professor in NYU-Poly's department of technology, culture and society, has received the Environmental Design Research Association 2013 Career Award in recognition of substantial contributions to the discipline of environment and behavior research.

Elza Erkip, professor of electrical and computer engineering at NYU-Poly, received the 2013 IEEE Communications Society Award for Advances in Communication, given to an outstanding paper that appeared in any IEEE publication in the previous 15 calendar years, a time frame that ensures that the winning piece has had a vital and long-lasting impact.

Lasse Pedersen, the John A. Paulson Professor of Finance and Alternative Investments at Stern, has been awarded the Banque de France and the Toulouse School of Economics Junior Prize in Monetary Economics and Finance, which carries a cash award of €15,000 and is awarded to two leading economists working in the fields of monetary economics and finance. Professor Pedersen has also been awarded the **\$25,000 Whitebox Prize for Best Financial Research**, along with two co-authors, for a paper published in the *Journal of Financial Economics* in May.

Mark Smith, professor of Hebrew and Judaic studies and Skirball Professor of Bible, received the Biblical Archaeology Society Book Prize for Best Popular Book on Archaeology for *Stories from Ancient Canaan*, co-authored with Michael Coogan. The book is an authoritative introduction to the Canaanite texts discovered at Ugarit, an ancient port city on the eastern Mediterranean.

Cecilia Dintino, adjunct faculty in Steinhardt's Drama Therapy program, produced and directed *Cancer as Change Maker*, which received the Performance Award from the North American Drama Therapy Association in September. The piece was created by Professor Dintino and current graduate students.

An article by Ada Ferrer, professor of history, Latin American and Caribbean Studies in the department of history, has been awarded the Vanderwood Prize from the Conference on Latin American History. The prize is for the best article on the History of Latin America published in any journal other than the *Hispanic American Historical Review*. The article is entitled, "Haiti, Free Soil, and Antislavery in the Revolutionary Atlantic."

A paper by Matteo Maggiori, assistant professor of finance at the Stern School of Business and affiliated assistant professor in the department of economics, and two co-authors from the University of California, Berkeley, were awarded the AQR Insight Award, which recognizes unpublished papers that provide significant new practical insights to institutional investors. The \$100,000 award recognized the authors' paper "Conditional Risk Premia in Currency Markets and Other Asset Classes."

Louise Rice, associate professor of art history, has been named a Fellow of the National Humanities Center for 2013-14. Professor Rice will study “conclusions”—elaborate dedicatory engravings used in 17th century Rome to decorate thesis broadsheets of students undergoing an academic defense.

Perry Halkitis, professor of applied psychology, public policy, and population health and Associate Dean for Academic Affairs for the NYU Global Institute of Public Health, was featured on the list of the Top 99 Professors in Counseling, Psychology, and Therapy, compiled by MastersinCounseling.org. The list cites Professor Halkitis’ work on the psychosocial burdens of AIDS and drug abuse.

Richard Bonneau, associate professor of biology and computer science, was named one of the Top 10 “Life Science Superstars 40 and Under” by Genetic Engineering and Biotechnology News. Professor Bonneau’s lab is focused on learning networks from functional genomics data and predicting and modeling protein data.

Popular Science named **Justin Cappos, assistant professor of computer engineering and science at NYU-Poly, to its “Brilliant 10” list of the most promising researchers under age 40.** Professor Cappos was recognized primarily for his work on Seattle, a free, open-source cloud computing system that allows anyone to experience the Internet from the perspective of any location around the globe.

Frances Milliken, the Arthur E. Imperatore Professor in Entrepreneurial Studies at Stern School of Business, has received the 2013 Distinguished Scholar Award by the Managerial and Organizational Cognition Division of the Academy of Management (AOM). The Managerial and Organizational Cognition Division of AOM is an international group of researchers who focus on understanding cognitive processes of both managers and employees and how these processes affect individual and organizational outcomes.

Suzanne Cusick, professor of music, has been awarded a 2014 Fellowship from the American Council of Learned Societies, to complete a monograph entitled *Men Hearing Women in Medicean Florence*. The book will use the documentation of a 1620 scandal involving a nobleman and a singing nun to explore the interaction of aural culture with the erotic and political life of early modern Florence.

Maureen Mahon, associate professor of music, has been awarded a 2013-2014 National Endowment for the Humanities Fellowship. She will work on a project entitled “Voices of African American Women in Rock and Roll, 1953-1984.”

Additional faculty news is available in the Faculty News Brief, emailed regularly to faculty members. To view the latest issue online, visit <http://nyu.is/1fWpshW>.

ADMINISTRATOR AND STAFF HONORS

Congratulations to the winners of the 2012-13 Give-A-Violet Award, which recognizes employees who perform above and beyond the normal scope of their position and responsibilities:

- **Elizabeth Best,** Outreach Program Administrator, Pediatric Dentistry, David B. Kriser Dental Center
- **Stephanie Chelales,** Assistant Director, Communications and Computing Services, Information Technology Services; Office of the EVP for Finance and Information Technology
- **Laura Chen-Schultz,** Deputy Director, Asian/Pacific/American Institute; Office of the Vice Provost, Academic Affairs

- **Nicholas Evans**, Assistant Director, Leadership and Staff Services, Office of Residential Life and Housing Services; Office of the Vice President, Student Affairs and Services
- **Elaine Fludgate**, Evening Operations Coordinator, Kimmel Center for University Life; Office of the EVP for Operations
- **Erica Frankel**, Senior Associate, Manager of Student Life, Bronfman Center for Jewish Student Life; Office of the Vice President, Student Affairs and Services
- **Emily Gadd**, Administrative Aide, Office of the Dean; Robert F. Wagner Graduate School of Public Service
- **Olivia Huynh**, Production Editor, Office of Advertising and Publications; University Relations and Public Affairs
- **Amita Manghnani**, Events Coordinator, Asian/Pacific/American Institute; Office of the Vice Provost, Academic Affairs
- **Joseph Pisano**, Assistant Director of Academic Support Services; Gallatin School of Individualized Study
- **Nancy Rentel**, Administrative Aide; Silver School of Social Work
- **Anne-Marie Skvarla**, Director of the Speech-Language Hearing Disorders Clinic, Department of Communicative Sciences and Disorders; Steinhardt School of Culture, Education, and Human Development

STUDENT HONORS

Since the April Senate meeting, the U.S. Department of State announced three additional NYU students who were awarded a **Fulbright grant**: **Julien Tunney (CAS '13)** will study in Italy; **Gabriel Rocha and Geoffrey Traugh, both GSAS doctoral students**, will study in Portugal and Malawi, respectively.

An additional student has also won a Paul & Daisy Soros Fellowship for New Americans, which provides tuition and stipend assistance in support of graduate education. The recipient is **Leda DeRosa, a master's in urban planning candidate at Wagner** who was born in Korea.

Erica Henegen (Steinhardt Fall '13) has won a 2013 Education Fund Fellow award from the Women's Forum, a network of leaders in the professions, arts, and business. The \$10,000 Education Fund award is designed to encourage mature women of need to pursue an undergraduate college education.

Ana Defillo, a master's student at SCPS, has received a Boren Fellowship, which provides graduate students with funding to add an international and language component to their studies. Ana will study Portuguese in Brazil while researching international development's role in labor trafficking in Latin America.

Ji "Atlas" Chen, an MFA student at the NYU Game Center at TSOA, was one of two students nationwide selected to receive the Randy Pausch Scholarship from the philanthropic arm of the Academy of Interactive Arts & Sciences. Ji will receive \$2,500 to support his MFA studies.

Carmen Oquendo-Villar, an MFA student at TSOA, has been selected to receive the 2013-14 Robert Girard Fellowship in support of her documentary chronicling the life of a transgender sex worker in Colombia. The fellowship is given annually by the Robert Girard Foundation, in partnership with CUNY's Center for Lesbian and Gay Studies.

Sathya Sridharan (TSOA MFA '14) was named one of 24 students across the country to receive a Princess Grace Award. Given annually by the Princess Grace Foundation-USA, the awards provide

more than \$1 million to artists in theater, dance performance, choreography, and film. Sathya was one of seven honorees in the theater category.

This spring, eight NYU students received Critical Language Scholarships, which are sponsored by the U.S. State Department to fund intensive summer institutes in critical languages. Five studied Arabic: **Christopher Fanikos (CAS '13)**, **Caroline Manela (NYUAD '14)**, and **Graden O'Leary (CAS '15)** in Morocco; **Jared Malsin (GSAS)** and **Audrey Watne (SCPS)** in Oman. **Sherri Cohen (GSAS)** studied Turkish in Turkey; **Matthew Keegan (GSAS)** studied Persian in Tajikistan; and **Zachary Wellstood (CAS '15)** studied Chinese in China.

Three NYU undergraduates have been named Fellows by New York Needs You (Nyny), which provides mentorship and advisement to first-generation college students. The recipients this year are: **Yasimen Aboubaker (Stern '16)**, **Melissa Almonte (CAS '16)**, and **Eugene Cheung (Stern '15)**.

ALUMNI HONORS

Three TSOA alumni won 2012 Tony Awards in the Best Musical and Best Revival of a Play categories. **Adam Gordon ('89)** and **Ken Davenport ('94)** were honored for co-producing *Kinky Boots*, and **Rose Caiola ('91)** was honored for producing *Who's Afraid of Virginia Woolf?* TSOA alumni were nominated for a total of six Tony Awards this year.

Seven alumni from the Tisch School of the Arts received Primetime Emmy Awards from the Academy of Television Arts and Sciences. The list of winners includes:

- **Paul Corrigan '94**, Executive Producer; **Brad Walsh '94**, Executive Producer; and **Bill Wrubel '93**, Executive Producer, Outstanding Comedy Series for *Modern Family*
- **Vince Gilligan '89**, Executive Producer; **Stewart A. Lyons '72**, Producer; **Thomas Schnauz '88**, Co-Executive Producer, Outstanding Drama Series for *Breaking Bad*
- **Kyra Thompson '86**, Supervising Producer, Outstanding Reality-Competition Program for *The Amazing Race*

Eight TSOA alumni also won Creative Emmy Awards, which are dedicated to key technical disciplines and behind-the-scenes crafts essential to television production. The winners include:

- **Julie Schubert '02**, Outstanding Casting for a Drama Series for *House of Cards*
- **Jamie Kelman '95**, Outstanding Prosthetic Makeup for a Series, Miniseries, Movie or a Special for *Behind the Candelabra*
- **David Javerbaum '95**, Outstanding Original Music and Lyrics, for the *66th Annual Tony Awards*, "If I Had Time"
- **Todd Liebler '85**, Outstanding Cinematography for Nonfiction Programming for *Anthony Bourdain: Parts Unknown*, "Myanmar"
- **Christopher Collins '92**, Outstanding Informational Series or Special for *Anthony Bourdain: Parts Unknown*
- **Jonathan Stern '89 and David Wain '91**, Outstanding Special Class – Short-Format Live-Action Entertainment Programs for *Children's Hospital*
- **Shaun Harkins '81**, Outstanding Technical Direction, Camerawork, Video Control for a Miniseries, Movie or a Special for the *66th Annual Tony Awards*

Two NYU alumni were among the 24 recipients of the 2013 MacArthur Fellowship, named by the John D. and Catherine T. MacArthur Foundation. The recipients are choreographer and dancer **Kyle Abraham (TSOA '06)** and organic chemist **Phil Baran (CAS '97)**. The Fellowships, also known as "genius grants," award recipients grants of \$625,000 over five years.

GRANTS

NYU has received a \$2 million grant from the Mellon Foundation to expand its humanities faculty whose scholarship and teaching will focus on the past, present, and future of cities. The grant will help fund three new faculty who will be tenured in one or more NYU humanities departments, and who will be important to the work of the Marron Institute on Cities and the Urban Environment.

The National Science Foundation has awarded NYU researchers and their colleagues at the California Institute of Technology a \$2 million grant to develop cutting-edge nanomaterials that hold promise for improving the manufacturing of advanced materials, biofuels, and other industrial products. The four-year grant includes **Nadrian Seeman, the Margaret and Herman Sokol Professor of Chemistry; James Canary, professor of chemistry; and Paul Chaikin, Silver Professor of Physics.**

Hirokazu Yoshikawa, who joined the Steinhardt faculty this academic year as the Courtney Sale Ross University Professor of Globalization and Education, has been awarded a three-year, \$1.7 million grant from the National Institutes of Health. He will be undertaking a comprehensive analysis of early childhood programs in order to determine their effectiveness.

The National Science Foundation awarded NYU WIRELESS professors Sundeep Rangan, Elza Erkip, and Theodore Rappaport a four-year, \$1.2 million grant through its Network Technology and Systems program. Rangan is an associate professor and Erkip is a professor in **NYU-Poly's department of electrical and computer engineering;** Rappaport is on the faculty of **NYU-Poly, the Courant Institute of Mathematical Sciences, and the School of Medicine.** The grant will fund student fellowships and develop research on increasing mobile data capacity.

NYU College of Nursing's Tara Cortes, professor of geriatric nursing and executive director of the Hartford Institute for Geriatric Nursing, has recently received two major grants from the U.S. Department of Health and Human Services. One is a three-year, \$1.5 million grant, in partnership with the Silver School of Social Work and two local institutions, that will support an innovative interprofessional model of collaborative, coordinated care for frail older adults in the community. The second is a three-year, \$1.1 million grant for an initiative in partnership with NYU School of Medicine that will focus on improving transitional care handoffs of patients with multiple chronic conditions.

The School of Medicine has received a five-year, \$1 million grant from the American Medical Association's Accelerating Change in Medical Education initiative. The grant will support the creation of the new Integrated Care Coordination and Analysis Curriculum, which will allow students to manage an authentic panel of patients within a network of physicians practices in the tri-state area that have business relationships with NYU Langone and share patient data. **Marc Triola, associate dean for educational informatics, assistant professor of medicine, and director of the Division of Educational Informatics,** is the principal investigator for the project.

The College of Nursing has received a \$960,000 grant from the Helene Fuld Health Trust to fund financial aid for students enrolled in its Accelerated Second Degree Baccalaureate Program. The program is designed for students who possess a baccalaureate degree in another field and who have completed specified prerequisite courses.



MEMORANDUM

TO: Members of the NYU Community
FROM: Office of Government Relations and Community Affairs (OGCA)
DATE: October 2, 2013
RE: Impact of the Government Shutdown

Because Congress and the Administration have been unable to come to a government funding agreement by the beginning of the fiscal year, October 1, 2013, the federal government and individual agencies are in a period of significantly curtailed operations often referred to as a government shutdown. The Office of Management and Budget (OMB) and each federal agency have issued formal guidance detailing how activities will be curtailed during a shutdown. This memo provides a brief summary on how programs and agencies most important to universities – primarily federal student aid and research grants – will be affected during the shutdown.

Background

Each year Congress must pass 13 annual appropriations bills to avoid a shutdown of government functions by September 30th, the end of the federal fiscal year. Currently, none of the fiscal year (FY) 2014 appropriations bills have been signed into law. If any of the 13 annual appropriations bills have not been signed into law by September 30th of any given year, a “Continuing Resolution” (CR) is required for the federal government to continue operate most agencies and programs.

The U.S. House of Representatives has been insisting on including a delay or defunding of the Affordable Care Act, or Obamacare, the President’s signature health care initiative, in any legislation to keep the government funded. The U.S. Senate and the Obama Administration have flatly rejected any efforts to change or delay the health care law, insisting on a “clean” CR to fund the government until a longer-term fiscal year 2014 spending bill can be completed. At this point, it is unclear when an agreement will be reached that would allow government agencies to reopen.

Under a shutdown, not all government functions actually cease. Programs and activities carried out with mandatory spending and those that have already been funded (such as certain contracts and grant activities funded under the previous years’ appropriations) will continue. Activities that, if suspended, would imminently threaten the safety of human life or the protection of property or would infringe upon the constitutional responsibilities of the President, continue operating. Lastly, individual agencies determine which employees are necessary to carry out this limited set of programs and functions. These employees are referred to as “essential” employees, and continue working through a government shutdown.

Federal Student Aid Programs

Guidance issued by the Department of Education makes clear that a short-term shutdown will have minimal impact on student aid processing and funding. Because most of the Federal Student Aid programs are forward funded (i.e., funding for the current award year primarily comes from last year's budget), most of the funding is already in place for the 2013-14 award year. Here is an excerpt from the Department of Education's guidance: "As a result of the permanent and multi-year appropriations, Pell Grants and student loans could continue as normal. Staff and contractors associated with these areas will continue to work, and only skeletal program operations would continue under the "significant damage" standard. Mandatory and carryover funding is also available for servicing contracts and many other administrative functions."

While nothing is certain in such an unpredictable political environment, current guidance and past precedent would indicate normal grant and loan processing in the near future during a short-term federal shutdown. Should the shutdown become prolonged, it is possible that processing would be impacted, but at this stage it is difficult to speculate on the extent or manner of any impact.

Federal Research Agencies

Each of the federal research agencies has issued similar general guidance on how a shutdown will affect federally funded grants. Based on agency guidance and past shutdown experience, we are reasonably confident of the following:

- Research and work on existing federal grants can continue, allowing faculty research to proceed uninterrupted.
- In general, Sponsored Programs will be able to draw down funds from research agencies to cover expenditures on *current* awards. The NIH has announced that it will maintain the Payment Management System to allow the processing of existing grant drawdown requests.
- There will be no new notices of grant awards or contracts during the shutdown period.
- Each agency will handle submissions of grant proposals through Grants.gov and other portals differently, some remaining operational and some will be unavailable. NSF has announced that its Fastlane proposal preparation and submission system will be unavailable during a shutdown. Under these scenarios, applications will be queued for action when government operations resume.
- Federal agency program and grant officers will not be available for consultation during a shutdown.
- There will be no technical support for the government's electronic systems.

Passports and Visas

The State Department has announced that it will keep most consulates and embassies open during a shutdown (they closed during previous shutdowns), so this means that most visa and passport processing should continue. There are some exceptions, however. For instance, if a

passport and visa agency is located in a government building affected by a lapse in funding, the facility may become unsupported by State Department processing workers.

Medicare and Medicaid Payments

Federal Medicare and Medicaid payments to doctors and hospitals for senior citizens and low-income residents will continue under a government shutdown.

Interaction with Federal Agency Officials and Staff

As discussed above, during a government shutdown, agencies will designate certain employees as “essential,” and these staffers will continue to work; all other federal employees will remain at home until a CR is in place. “Essential” employees are typically those who have an immediate impact on national defense, homeland security, law enforcement and health and safety. At the Department of Education and most research agencies, where employees do not carry out those functions, only a handful of people (typically only the head of each office and a few more people department-wide) have been designated as essential. This means that the vast majority of agency staff will be unavailable via phone or e-mail should university administrators, faculty or researchers have questions or need assistance related to federal programs.

The Office of Government & Community Affairs (OGCA) will continue to keep you apprised should the Office of Management & Budget or any of the federal agencies provide additional guidance on shutdown operations. If you have any questions, please contact Steve Heuer, Director of Government Relations, at 202-654-8329 or at steve.heuer@nyu.edu.

New York University University Senate

Senate Officers, 2013-2014

John Sexton	President
Mariam Ehrari	Vice President
Bonnie Brier	Secretary

COMPOSITION OF SENATE COMMITTEES FOR ACADEMIC YEAR 2013-2014

(Names of Alternate Senators Appear in *ITALICS*)

COMMITTEES	STUDENTS	FACULTY	AMC	DEANS	UNIV ADMIN
Academic Affairs	Kao McWilliams Plaut	Cappell Diner Dinwiddie Kamer	<i>McHugh</i>	Carew Wofford	Chamberlin*** Baer Yu** (for President)
Executive	Ehrari	Sundaram	Vogelsang	Videka	Brier** (non- voting) Sexton*
Financial Affairs	Blay Cantave Dooling Sampat Totaro	Backus Goodwin Kane Rajagopal Simoncelli Sundaram	DeSantis <i>Kenigsberg</i>	Morrison Starr	Berne*** Dorph** Jiga*** Wais** (for Provost) Sexton*, **
Organization and Governance	Dettloff Ehrari Inskeep-Rosenfeld Jaywant*	Jacobs Jelinek* McIlwain Stanhope Uleman	Dwyer Ramos	Mandel <i>Schwarzbach</i>	Brier ** Chamberlin Yu ** (for President)
Public Affairs	Hawk Hedbanyand Hengerer	Alter Cowin Kane <i>Tannenbaum*</i>	<i>DePena</i> <i>Drew</i>	<i>Sullivan-Marx</i>	Brown** (for President) Chamberlin Kail
University Judicial Board	Cordova Jones McCooper Patel Syed TBD	Chan Ludomirsky Miller <i>Stewart</i> Wisniewski Zwanziger	<i>Fry</i> <i>Helleberg</i> Kim <i>West</i>	<i>Abramson</i> Benton Brabeck Campbell Glied Bertolami	Chamberlin** (for President)

*Chair **ex-officio ***Advisor

Last Revised: 9/25/13