Office of Sponsored Programs

RESEARCH ADMINISTRATORS FORUM

October 2017
Agenda

• Update on the Common Rule (IRB)
• Implementation of NIH Forms E packages for due dates on or after January 25, 2018 (OSP)
• Project specific, sponsor mandated audits (SPA)
• Director, Conflict of Interest office and disclosure review (OSP)
• Indirect cost splits (OSP and SPA)
Update on the Common Rule

• Jane McCutcheon
  – Associate Professor, Basic Science and Craniofacial Biology, College of Dentistry
  – Member, University Committee on Activities Involving Human Subjects
  – NYU’s Faculty Representative to the Federal Demonstration Partnership
  – Creator of the “Exempt Wizard”
    • National model that can make immediate exempt determinations
    • NYU leads a pilot for FDP that begins 2018
Director, Conflict of Interest office and disclosure review (OSP)

- Erik Schneebeck
  - Research Deans, Provosts Office, OGC
  - Nancy and Erik have begun one on ones
    - obtain feedback about the process and system
    - review initial findings relative to sponsored project-specific disclosures
  - Red team review round 1: review of transactional, research specific disclosures associated with proposal submissions to PHS agencies and others that have adopted PHS COI regs as well as NSF
  - Red team review round 2: review of transactional, research specific disclosures associated with awards created
    - Corrective action requests sent to PI’s, Deans, DRA’s
    - PI’s must complete and submit their OWN disclosures!
Director, Conflict of Interest office and disclosure review (OSP)

- Institution-wide Conflict of Interest Committee
- Composition
  - Faculty from all schools
  - Research Administrators from OSP, OIL, OGC, UCAIHS/IACUC, Compliance
- Erik will support Deans and Committee
  - Education and Training
  - Policy and procedure revisions
  - System enhancements
  - Disclosure Review
  - Recommendations
  - Management Plans
  - Communications
  - Monitoring Plans
  - Reporting (internal and external)
Indirect cost splits (OSP and SPA)

- Guidelines issued to Deans and FO’s by the Budget Office, OSP and SPA 10/2/17
- Purpose: Advance agreement on the method for allocating indirect cost recoveries (ICR)
- ICR shared among collaborating and administering schools, centers/institutes must documented and reflected in CAYUSE
- Any modifications to an ICR agreement must be communicated to OSP and SPA *prior* to award
- ICR is calculated, posted in the general ledger based on direct spend, sponsor indirect cost guidelines, and NYU’s ICR allocation rules
- Postings occur every day in which there is direct spending on an award
Indirect cost splits (OSP and SPA)

- 25% of the ICR is allocated to the unit administering the award
- 75% of the ICR is allocated pro rata to schools, institutes and centers that are the academic homes of the collaborating Investigators
- If an Investigator changes affiliation to another school, institute or center after time of award, the change must be communicated to OSP so Cayuse can be updated
- If an Investigator leaves or a new Investigator is appointed to the award during the project, any future ICR will be allocated pro rata
- Collaborating academic units can agree to alternative rules, provided: a) the agreement is in writing; and b) SPA has reviewed and determined that the alternative allocation rules can be systematically administered