Export Compliance Decision Tree
For Administration of Contract Provisions of Concern

Does the solicitation and/or award document restrict publication or presentation of research results?

Further review is required by your compliance team.

OSP Project Officer and Contract Office team member as well as Tatiana Shapiro, NYU’s Export Compliance Officer at tatiana.shapiro@nyu.edu

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If you are unsure to the answers for any of these questions, please consult with your compliance team noted above.

Does the solicitation and/or award document limit or prohibit Foreign Nationals from performing work or accessing research results?

Will any information used in the project be obtained from a third-party subject to non-disclosure obligations?

Does the research take place outside of the United States territory?

Will research results be considered trade secrets, confidential, or proprietary information owned by the sponsor?

Will Foreign Nationals have access to controlled information or controlled items?

Will any equipment or encryption software be obtained by NYU, or required to be developed/delivered during the project?

Is the equipment or software listed on the USML or CCL?

Further review of the contract / project for compliance with export control laws and regulations is not necessary at this time. Changes in the contract / project will require a new review.