



Export Compliance Decision Tree

For Administration of Contract Provisions of Concern

Does the solicitation and/or award document restrict publication or presentation of research results?

YES

NO

Does the solicitation and/or award document limit or prohibit Foreign Nationals from performing work or accessing research results?

YES

NO

Does the solicitation and/or award document prohibit results or deliverables from being disclosed or delivered to any country or persons?

YES

NO

Will any information used in the project be obtained from a third-party subject to non-disclosure obligations?

YES

NO

Does the research take place outside of the United States territory?

YES

NO

Will research results be considered trade secrets, confidential, or proprietary information owned by the sponsor?

YES

NO

Will Foreign Nationals have access to controlled information or controlled items?

YES

NO

Will any equipment or encryption software be obtained by NYU, or required to be developed/delivered during the project?

YES

NO

Further review is required by your compliance team.

OSP Project Officer and Contract Office team member

as well as

Tatiana Shapiro
NYU's Export Compliance Officer

at tatiana.shapiro@nyu.edu

If you are unsure to the answers for any of these questions, please consult with your compliance team noted above.

Is the equipment or software listed on the [USML](#) or [CCL](#)?

YES

NO

Further review of the contract / project for compliance with export control laws and regulations is not necessary at this time. Changes in the contract / project will require a new review.