Faculty Annual Report on Academic Conflict of Interest and Conflict of Commitment

Each spring the Office of Academic Appointments (OAA) administers the annual faculty survey that implements the NYU Policy on Academic Conflict of Interest and Conflict of Commitment which can be found in the Faculty Handbook.

All faculty need to comply with University Policy by completing the annual disclosure form. Disclosures are for the reporting period of June 1, 2018 projected through May 31, 2019. Since historic information resides in the system, faculty need only to report changes to previous disclosures.

Summary of Important Dates

March 25, 2019    Each full-time faculty member will receive a system-generated email message with instructions to access the automated system and complete the survey on March 25, 2019. The email message will have this subject header: “Annual Report on Academic Conflict of Interest and Conflict of Commitment.”

April 30, 2019    Deadline for faculty to complete the survey

Completing the Survey

Faculty are encouraged to consult the Policy to

- Determine whether and to what extent outside activities may conflict with the faculty’s primary commitment to teaching, research, and collegial responsibilities.
- Become aware of situations that generate the potential for conflicts of interest or conflicts of commitment.
- Understand expectations about disclosing interests and activities that might result in conflicts.

Where to Address Questions

An on-line user guide for completing the survey can be viewed at www.nyu.edu/oaa. For help with additional questions, feel free to consult the following individuals:

- About the Policy - to Associate General Counsel Carrie Trowbridge at carrie.trowbridge@nyu.edu or (212) 998-2242.
About the Form, the faculty reporting process, and the process for decanal review - to Associate Provost Peter Gonzalez at peter.gonzalez@nyu.edu or (212) 998-2275.

About disclosures related to research, and research project-specific disclosures - to Associate Vice Provost for Research Compliance and Administration Marti Dunne at marti.dunne@nyu.edu or (212) 998-2115; and Erik Schneebeck, Director, Office of Conflict of Interest at eschneebeck@nyu.edu or (212) 998-2186.

About conflicts not related to research - to Robert Roach, Chief Global Compliance Officer at robert.roach@nyu.edu or (212) 998-2075.

The Purpose of the Policy

As per the Policy, “in undertaking activities as a part of a primary commitment to NYU or in outside endeavors, a divergence can occur between the personal interests of a Faculty member or an Investigator and his or her professional obligations to NYU, in which case a conflict of commitment or conflict of interest can arise. The purpose of this policy, including the Addendum on Conflicts of Interest in Research and Other Sponsored Projects, is to assist the faculty in determining whether and to what extent outside activities may conflict with the faculty’s primary commitment to teaching, research and collegial responsibilities; to educate faculty and other Investigators about situations that generate the potential for conflicts of interest or conflicts of commitment; to clarify expectations about disclosing interests and activities that might result in conflicts; to identify means to manage, reduce or eliminate such conflicts; and to promote the best interests of student and others whose work depends on the direction of faculty and Investigators.”