

New York University
HIPAA Information Security Policies
Effective Date: 1/1/05 Compliance Date: 4/21/05
Date of Latest Revision: December 23, 2004
April 15, 2005
May 11, 2005
May 31, 2005
February 2, 2011
August 14, 2013
February 26, 2016
October 3, 2016
April 10, 2020
August 13, 2021 (review only)

Table of Contents

HIPAA Policy 1 Overview - Policies, Procedures, and Documentation
Operational Requirements

HIPAA Policy 2 Security Management Process
Operational Requirements
Operational Specification 2.A Risk Analysis
Operational Specification 2.B Risk Management
Operational Specification 2.C Security Sanctions
Operational Specification 2.D Information System Activity Review

HIPAA Policy 3 Assigned Security Responsibility
Operational Requirements

HIPAA Policy 4 Workforce Security
Operational Requirements
Operational Specification 4.A Authorization and/or Supervision
Operational Specification 4.B Workforce Clearance Procedure
Operational Specification 4.C Termination Procedures

HIPAA Policy 5 Information Access Management
Operational Requirements
Operational Specification 5.A Access Authorization
Operational Specification 5.B Access Establishment and Modification

HIPAA Policy 6 Security Awareness and Training
Operational Requirements
Operational Specification 6.A Security Reminders
Operational Specification 6.B Protection from Malicious Software
Operational Specification 6.C Log-in Monitoring
Operational Specification 6.D Password Management

HIPAA Policy 7 Security Incident Procedures
Operational Requirements
Operational Specification 7.A Response and Reporting

HIPAA Policy 8 Contingency Plan
Operational Requirements
Operational Specification 8.A Data Backup Plan
Operational Specification 8.B Disaster Recovery Plan
Operational Specification 8.C Emergency Mode Operation Plan
Operational Specification 8.D Testing and Revision Procedure

Operational Specification 8.E Applications and Data Criticality Analysis

HIPAA Policy 9 Evaluation

Operational Requirements

HIPAA Policy 10 Business Associate Contracts and Other Arrangements

Operational Requirements

HIPAA Policy 11 Facility Access Controls

Operational Requirements

Operational Specification 11.A Contingency Operations

Operational Specification 11.B Facility Security Plan

Operational Specification 11.C Access Control and Validation Procedures

Operational Specification 11.D Maintenance Records

HIPAA Policy 12 Workstation Use

Operational Requirements

HIPAA Policy 13 Workstation Security

Operational Requirements

HIPAA Policy 14 Device and Media Controls

Operational Requirements

Operational Specification 14.A Disposal

Operational Specification 14.B Media Re-use

Operational Specification 14.C Accountability

Operational Specification 14.D Data Backup and Storage

HIPAA Policy 15 Access Control

Operational Requirements

Operational Specification 15.A Unique User Identification

Operational Specification 15.B Emergency Access Procedure

Operational Specification 15.C Automatic Logoff

Operational Specification 15.D Encryption and Decryption

HIPAA Policy 16 Audit Controls

Operational Requirements

HIPAA Policy 17 Integrity Controls

Operational Requirements

Operational Specification 17.A Mechanism to Authenticate Electronic Protected Health Information

HIPAA Policy 18 Person or Entity Authentication

Operational Requirements

HIPAA Policy 19 Transmission Security

Operational Requirements

Operational Specification 19.A Integrity Controls

Operational Specification 19.B Encryption

Definitions