

Homogeneity in donkey sentences

Lucas Champollion
New York University
champollion@nyu.edu

Abstract for *Semantics and Linguistic Theory 26*, May 12-15, 2016

Donkey sentences sometimes give rise to “existential” interpretations (\exists -readings) and sometimes to “universal” interpretations (\forall -readings), but when the context is fixed they are usually not perceived as ambiguous. I predict how context disambiguates donkey sentences by linking the pragmatic account of homogeneous definite descriptions in Križ (2015) with a trivalent dynamic semantics that combines Brasoveanu (2008) (for dynamics), Eijck (1993) (for trivalence) and Eijck (1996) (for trivalence-sensitive quantifiers).

Homogeneity in donkey sentences

Overview: *Donkey sentences sometimes give rise to “existential” interpretations (\exists -readings) and sometimes to “universal” interpretations (\forall -readings), but when the context is fixed they are usually not perceived as ambiguous. I predict how context disambiguates donkey sentences by linking the pragmatic account of homogeneous definite descriptions in Križ (2015) with a trivalent dynamic semantics that combines Brasoveanu (2008) (for dynamics), Eijck (1993) (for trivalence) and Eijck (1996) (for trivalence-sensitive quantifiers).*

It is easy to judge the donkey sentence (1) if no man treats any two donkeys differently: in such scenarios, if every man beats every donkey he owns, it is clearly true, and if some donkey-owner beats no donkey he owns, it is clearly false.

- (1) Every man who owns a donkey beats it.

But truth conditions become more difficult to ascertain in a *heterogeneous* scenario, where one of the men owns several—equally salient—donkeys and beats only one of them (e.g. Heim 1982, Rooth 1987). This contrasts with the *homogeneous* scenarios above, where members of the same quantificational case all agree on whether they satisfy the nuclear scope (Barker 1996). I will call a donkey sentence *heterogeneous* if it is readily judged true in relevant heterogeneous scenarios, and *homogeneous* otherwise. A homogeneous example is (2a) from Rooth (1987). Two heterogeneous examples are (2b) from Schubert & Pelletier (1989) and (2c) from Chierchia (1995); as for (1), it is heterogeneous in (3a) but homogeneous in (3b). This distinction cuts across the one between \exists -readings—(2a), (2b)—and \forall -readings—(2c).

- (2) a. No man who has a son lets him drive the car. \exists -reading
 b. If I have a dime in my pocket, I will put it in the meter. \exists -reading
 c. No man who has an umbrella leaves it home on a day like this. \forall -reading
- (3) a. *Context:* A shrink recommends that for stress-relief purposes every donkey-owner in the village should beat at least one of his donkeys, and the current issue is whether this recommendation is followed (Chierchia 1995). $\rightsquigarrow \exists$ -reading
 b. Same context except the shrink’s recommendation is that every donkey-owner should beat all of his donkeys. $\rightsquigarrow \forall$ -reading

Sentences with definite plurals exhibit a related phenomenon also called homogeneity (e.g. Löbner 2000, Križ 2015). For example, say I can reach a goal by going through any one of three doors, and that two of them are open. Here (4) could be readily judged true; but not so if the doors are arranged in a sequence and I need to pass through all of them.

- (4) The doors are open.

To explain this, Križ (2015) assumes that when some but not all doors are open, (4) is literally neither true nor false but has an extension gap (Schwarzschild 1993) and that possible worlds are related by an equivalence relation \approx_I that tells us whether or not two worlds resolve the contextually given current issue I in the same way (cf. Malamud 2012). A sentence S is called “true enough” at a world w iff S is true either at w or at some $w' \approx_I w$ (cf. Lewis 1979, Lasersohn 1999). A sentence S may be used at w to address an issue I as long as it is true enough at w and not false at any $w'' \approx_I w$. Speakers may utter a sentence even if

they do not believe it to be true, as long as they do not believe it to be false at any world that is equivalent to the actual world. Suppose for example that the current issue is whether there is a way to the goal, and that there is one as long as some door is open. Consider two worlds w_{true} , where all the doors are open, and w_{mixed} , where two of three doors are open. Since these worlds resolve the current issue in the same way, they are equivalent. So (4) counts as true enough at both of them and will be interpreted non-maximally (and hence, heterogeneously) as $\{w_{true}, w_{mixed}\}$. But if the doors are arranged in sequence and the only way to the goal leads through all of them, w_{true} and w_{mixed} resolve the current issue in different ways and are not equivalent. Instead, w_{mixed} is equivalent to a world w_{false} where no door is open. As for w_{true} , it is the only world at which (4) is true enough, so (4) is interpreted maximally as $\{w_{true}\}$. This is a homogeneous interpretation.

Although Križ did not design his theory for donkey sentences, we can directly apply it to them by assuming that they have extension gaps at heterogeneous worlds. I assume that (1) has the following literal truth conditions, exemplified by w_{true} , w_{false} and w_{mixed} :

- (5)
- a. **true** iff every donkey-owner beats every donkey he owns;
 - b. **false** iff at least one donkey-owner does not beat any donkey he owns;
 - c. **neither** in all other cases, in particular, if every donkey-owner beats exactly one donkey he owns and some of them own more than one donkey.

In scenario (3a), w_{true} and w_{mixed} are equivalent. Given the current issue of this scenario, (1) is true enough at w_{mixed} and therefore heterogeneous. In scenario (3b), w_{mixed} and w_{false} are now equivalent to each other, but not to w_{true} . Therefore, unlike in the previous scenario, (1) is not true enough at w_{mixed} and is therefore homogeneous.

As for (2c), it is correctly predicted heterogeneous if it has these literal truth conditions:

- (6)
- a. **true** iff no umbrella-owner leaves any of his umbrellas home;
 - b. **false** iff at least one umbrella-owner leaves all his umbrellas home;
 - c. **neither** in all other cases, in particular, if every umbrella-owner takes exactly one umbrella along, and someone leaves an extra umbrella home.

Suppose that the current issue is whether any man with an umbrella is getting wet because he hasn't taken any umbrella along. This is the case at w_{false} . It is neither the case at w_{true} nor at w_{mixed} , so these two worlds are equivalent. Given this issue, (2c) is therefore true enough at both w_{true} and w_{mixed} . At either of these worlds, (2c) can be used to address the current issue since w_{true} is not equivalent to w_{false} . This means (2c) will be pragmatically interpreted as $\{w_{true}, w_{mixed}\}$. Therefore, (2c) receives a heterogeneous reading.

Finally, (2a) is correctly predicted homogeneous if it has the following truth conditions:

- (7)
- a. **true** iff no father lets any of his sons drive his car;
 - b. **false** iff at least one father lets all his sons drive his car;
 - c. **neither** in all other cases, e.g. if every father allows one of his sons to drive the car, and some of them have additional sons that they don't allow to drive.

Suppose that the current issue is whether there are reckless fathers, and that a father who allows just one of his sons to drive the car is just as reckless as one who gives permission to all of his sons. We have no reckless fathers at w_{true} . We do have them both at w_{false} and

at w_{mixed} , so these two worlds are equivalent. Hence (2a) is true enough only at w_{true} . It can be used to address the current issue since w_{true} is not equivalent to w_{false} . This means (2a) will be pragmatically interpreted as $\{w_{true}\}$.

The next step consists in delivering the right trivalent meanings compositionally. It has been suggested that donkey pronouns can pick up both atoms and sums as discourse referents, so that the one in (1) could be paraphrased as *the donkey or donkeys he owns* (e.g. Lappin & Francez 1994, Krifka 1996). However, Kanazawa (2001) shows that just like ordinary singular pronouns, singular donkey pronouns are semantically singular and can only pick out atomic discourse referents. This favors PCDRT, in which a pronoun can restrict its discourse referent to be atomic (Brasoveanu 2008). PCDRT is a version of CDRT (Muskens 1996) in which sets of assignments are passed around. I assume that indefinites always receive a maximal interpretation (“strong” in Brasoveanu’s sense); for example, man^v *who owns a donkey^u* in (1) returns an assignment set whose members between them map u to every donkey owned by v . The original formulation of PCDRT assumes that a pronoun like it_u tests whether all assignments in the input set agree on the referent of u . I replace this by a weaker requirement that only checks whether each assignment maps u to some atom, but not necessarily to the same value. To let PCDRT deliver trivalent meanings, I integrate it with error-state semantics (Eijck 1993), using the empty set of assignments as our error state. I Montague-lift the pronoun it_u to give it access to its continuation, and make it output an error whenever the continuation does not yield the same result for each value of u . For example, *beats it_u* will take a subject argument x and return an error whenever the assignments in its input set map u to different values and when x beats some but not all of these values. An error can be resolved later either to True or to False. I make embedding quantifiers supervaluationist in the sense of Eijck (1996). A supervaluation quantifier when applied to an error-generating predicate (such as *beats it*) returns a classical truth value whenever the underlying bivalent quantifier returns the same truth value no matter how error states are resolved, and returns Neither otherwise. For example, *Every man^v who owns a donkey^u* when applied to *beats it_u* yields the trivalent truth conditions in (5).

I have followed Križ (2015) in not treating homogeneity as a presupposition. A different approach is adopted by Barker (1996), who claims that the use of a proportional quantifier presupposes a homogeneous scenario. The scenario in (8a) is a problem for Barker (1996) because sentence (8b) is false in it but Barker predicts it to be a presupposition failure.

- (8) a. Our department has 11 professors, all of them male and not overly comfortable with computers, except John, the computational linguist. Last year he had to deal with 90 problems and solved 70 of them. As for his 10 colleagues, last year each of them had to deal with just one computer problem, but couldn’t solve it.
 b. When a professor has to deal with a computer problem, he usually solves it.

On Barker’s account, (8b) presupposes a domain in which every professor who has a computer problem solves either all of his problems or none of them. In the scenario provided, this presupposition fails because John solves only 70 of his 90 problems. (I will argue in the talk that no domain narrowing is possible here.) This rules out (8b) as a presupposition failure, contrary to fact. On my account, there is no problem, because presuppositions are not involved, and (8b) is predicted false thanks to the supervaluation quantifier *usually*.

References

- Barker, Chris. 1996. Presuppositions for proportional quantifiers. *Natural Language Semantics* 4(3). 237–259. <http://dx.doi.org/10.1007/bf00372821>.
- Brasoveanu, Adrian. 2008. Donkey pluralities: Plural information states versus non-atomic individuals. *Linguistics and Philosophy* 31(2). 129–209. <http://dx.doi.org/10.1007/s10988-008-9035-0>.
- Chierchia, Gennaro. 1995. *Dynamics of meaning: Anaphora, presupposition, and the theory of grammar*. Chicago, IL: University of Chicago Press. <http://dx.doi.org/10.7208/chicago/9780226104515.001.0001>.
- Eijck, Jan van. 1993. The dynamics of description. *Journal of Semantics* 10(3). 239–267. <http://dx.doi.org/10.1093/jos/10.3.239>.
- Eijck, Jan van. 1996. Quantifiers and partiality. In Jaap van der Does & Jan van Eijck (eds.), *Quantifiers, logic, and language*, 105–144. Stanford, CA: CSLI Publications.
- Heim, Irene. 1982. *The semantics of definite and indefinite noun phrases*. Amherst, MA: University of Massachusetts PhD thesis. <http://semanticsarchive.net/Archive/jA2YTJmN>.
- Kanazawa, Makoto. 2001. Singular donkey pronouns are semantically singular. *Linguistics and Philosophy* 24(3). 383–403. <http://dx.doi.org/10.1023/a:1010766724907>.
- Krifka, Manfred. 1996. Pragmatic strengthening in plural predications and donkey sentences. *6th Semantics and Linguistic Theory Conference (SALT 6)*. 136–153. <http://dx.doi.org/10.3765/salt.v6i0.2769>.
- Križ, Manuel. 2015. Homogeneity, non-maximality, and *all*. *Journal of Semantics*. <http://dx.doi.org/10.1093/jos/ffv006>.
- Lappin, Shalom & Nissim Francez. 1994. E-type pronouns, i-sums, and donkey anaphora. *Linguistics and Philosophy* 17(4). 391–428. <http://dx.doi.org/10.1007/bf00985574>.
- Lasnik, Peter. 1999. Pragmatic halos. *Language* 75(3). 522–551. <http://dx.doi.org/10.2307/417059>.
- Lewis, David. 1979. Scorekeeping in a language game. *Journal of Philosophical Logic* 8(1). 339–359. <http://dx.doi.org/10.1007/bf00258436>.
- Löbner, Sebastian. 2000. Polarity in natural language: Predication, quantification and negation in particular and characterizing sentences. *Linguistics and Philosophy* 23(3). 213–308. <http://dx.doi.org/10.1023/a:1005571202592>.
- Malamud, Sophia A. 2012. The meaning of plural definites: A decision-theoretic approach. *Semantics and Pragmatics* 5(3). 1–58. <http://dx.doi.org/10.3765/sp.5.3>.
- Muskens, Reinhard. 1996. *Meaning and partiality*. Stanford, CA: CSLI Publications.
- Rooth, Mats. 1987. Noun phrase interpretation in Montague Grammar, File Change Semantics, and Situation Semantics. In P. Gärdenfors (ed.), *Generalized quantifiers: Linguistic and logical approaches*, 237–268. Dordrecht, Netherlands: Reidel.
- Schubert, Lenhart K. & Francis Jeffrey Pelletier. 1989. Generically speaking, or, using discourse representation theory to interpret generics. In Gennaro Chierchia, Barbara H. Partee & Raymond Turner (eds.), *Properties, types, and meaning, volume II: Semantic issues*, vol. 39 (Studies in Linguistics and Philosophy), 193–268. Dordrecht, Netherlands: Kluwer. http://dx.doi.org/10.1007/978-94-009-2723-0_6.
- Schwarzschild, Roger. 1993. Plurals, presuppositions and the sources of distributivity. *Natural Language Semantics* 2(3). 201–248. <http://dx.doi.org/10.1007/bf01256743>.