

NEW YORK UNIVERSITY, POLITICS DEPARTMENT

## UN PEACEKEEPING AND STATEBUILDING

Fall 2005 Syllabus  
Wednesday 6:20-8:20  
G53.2704.001

### Faculty Contacts:

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### Course Objectives:

This course provides an introduction to peacekeeping and statebuilding – overlapping and inter-related activities involving the use of international security and civilian assets to help states and societies recover after war. The course has the following premise: that international actors will continue to be called upon, perhaps increasingly so, to guarantee the peace, assist recovery and in extremis operate as de facto trustees in post-conflict or state failure contexts.

The goal of the course is to give students a better understanding of the history, politics, and operational realities of peacekeeping and statebuilding. The course provides a mix of background and thematic lectures, and case specific lectures. An important part of the course will be a student practicum which will occupy the last few sessions, and will give students a chance to work through a series of policy issues and dilemmas that typically confront UN or international peacekeepers as they engage in post-conflict state-building activities.

### Course Materials and Requirements:

Please see attached syllabus. Materials can be found in the library and on the web. All readings should be done in advance.

### Attendance and Lateness Policy:

Registered students are expected to attend all scheduled classes. Since lateness is disruptive to all in attendance, we strongly urge that – barring exceptional circumstances – students arrive by class start time and remain until the period ends.

### Tests, Papers and Class Presentations:

There will be no tests for this course. Students will be expected to prepare a paper, and deliver an oral report, as part of a student practicum. Specific requirements for these will be given out

during the semester. Grades will be assigned on the basis of class participation, oral presentations, and the paper.

### **Course Schedule:**

**9/7/2005**            **Introduction: Peacekeeping from UNTSO (46) to UNMIS (06)**

Lesson 1            Instructor: Bruce Jones

- William J. Durch (ed.) UN Peacekeeping, American Policy and the Uncivil wars of the 1990s. (Stimson Center 1996).
- Stephen Stedman et al (eds.) Ending Civil Wars: The Implementation of Peace Agreements. Boulder, CO: Lynne Rienner, 2002.)

Introductions and conclusions from these volumes are required reading. Students should have constant access to these volumes, for case study and thematic reference.

**9/14/2005**            **Issues in Peacekeeping: From Deployment to Doctrine**

Lesson 2            Instructor: Bruce Jones

- Bruce Jones with Feryal Cherif, “Evolving Models of Peacekeeping” UN Department of Peacekeeping, Best Practices Unit. (<http://pbpu.unlb.org/pbpu/library>)
- Ian Johnstone, ““US-UN Relations after Iraq: The End of the World (Order) as We Know It?”, *European Journal of International Law*, Vol. 15 (4), 2004
- Report of the Secretary-General on "No exit without strategy: Security Council decision-making and the closure or transition of United Nations peacekeeping operations". S/20001/394. 20 April 2001. <http://www.un.org/Depts/dpko/dpko/reports.htm>
- Report of the Panel on United Nations Peace Operations. A/55/305 – S/2000/809. 21 August 2000. <http://www.un.org/Depts/dpko/dpko/reports.htm>

**9/21/2005**            **No class**

**9/28/2005**            **Issues in Post-Conflict Operation**

Lesson 3            Instructor: Shepard Forman

- Elizabeth Cousens and Chetan Kumar, eds, Peacebuilding as Politics: Cultivating Peace in Fragile Societies. Lynne Rienner Publishers, 2001 (Introduction and Conclusions).
- Frederick Barton and Bathsheba Crocker, A Wiser Peace: An Action Strategy for Post-Conflict Iraq, Center for Strategic and International Studies, 2003. <<http://www.csis.org/isp/wiserpeace.pdf>>
- In the Wake of War: Improving U.S. Post-Conflict Capabilities, Independent Task Force Report, Council on Foreign Relations, 2005.
- “Recovering from Conflict: Strategy for an International Response,” Shepard Forman, Stewart Patrick and Dirk Salomons, Center on International Cooperation, NYU, 2000 [www.cic.nyu.edu/publications](http://www.cic.nyu.edu/publications)

**10/5/2005**            **Issues in State Building**

Lesson 4            Instructor: Barnett Rubin

- Francis Fukuyama, “The Imperative of State-Building,” Journal of Democracy, Vol 15, No 2, pp 17-31, April 2004
- Marina Ottaway, “Rebuilding State Institutions in Collapsed States,” Development and Change 33(5): 1001-1023 (2002)
- Pierre Englebert, “The contemporary African state: neither African nor state,” Third World Quarterly, Vol 18, No 4, pp 767-775, 1997
- Barnett R. Rubin, “Peace Building as State Building,” Survival (forthcoming).

- Ashraf Ghani, Clare Lockhart, and Michael Carnahan, “Closing the sovereignty gap: an approach to state-building,” United States Institute of Peace (forthcoming).
- Stephen Krasner and Carlos Pascual, “Addressing Failed States,” Foreign Affairs.
- Plus two or three background pieces to be named.

**10/12/2005**      **Transitional Authority Operations**  
Lesson 5            Instructor: Bruce Jones

- Chesterman, Simon, You the People: The United Nations, Transitional Administration and State-building, Oxford University Press, 2004. Focus on East Timor sections.
- Griffin, Michele and Bruce Jones. 2000. “Building Peace through Transitional Authority: New Directions, Major Challenges.” International Peacekeeping 7(4)
- Special Issue. International Peacekeeping, Volume 9, Number 2 / Summer 2002
- Chopra, Jarat (ed.) The Politics of Peace Maintenance. Boulder, Lynne Rienner, 1998.

**10/19/2005**      **Transitional Authorities: East Timor case study**  
Lesson 6            Instructor: Shepard Forman

- Caplan, Richard, International Governance of War-Torn Territories, Oxford University Press, 2005. Part II. Critical Issues For International Administration, pp159-257.
- Chesterman, Simon, You the People: The United Nations, Transitional Administration and State-building, Oxford University Press, 2004. Focus on East Timor sections.
- Nassrine Azimi and Chang Li Lin, ed., The United Nations Transitional Administration in East Timor (UNTAET): Debriefing and Lessons, Report of the @002 Tokyo Conference, The United Nations Institute for Training and Research, The Institute of Policy Studies of Singapore, and The Japan Institute of International Affairs, Martinus Nijhoff Publishers, 2003
- Jarat Chopra, “Building State-Failure in East Timor,” *Development and Change* 33, pp979-1000, Institute of Social Studies, 2002.

**10/26/2005**      **The Role of Regional Organizations**  
Lesson 7            Instructor: Shepard Forman

- Michael Pugh and Waheguru Pal Singh Sidhu, eds, The United Nations and Regional Security: Europe and Beyond, Lynne Rienner Publishers 2003. Introduction, Chapter I, and Conclusions.
- Shepard Forman and Andrew Grene, “Collaborating with Regional Organizations,” in The UN Security Council: From the Cold War to the 21<sup>st</sup> Century, David M. Malone, ed., Lynne Rienner Publishers, 2004, pp 295-310.
- Andrea Armstrong and Barnett Rubin, “The Great Lakes and South Central Asia,” in Making States Work, Simon Chesterman, Michael Ignatieff and Ramesh Thakur, eds, United Nations University Press, 2005.
- Roy Allison, “Regionalism, regional structures and security management in Central Asia,” *International Affairs* 80:3 (2004), pp. 463-483

**11/2/2005**        **Recent Reform Initiatives: From Brahimi to the High-level Panel**  
Lesson 8            Instructor: Bruce Jones

- United Nations. Report of the Panel on United Nations Peace Operations. New York, 2000. [http://www.un.org/peace/reports/peace\\_operations/](http://www.un.org/peace/reports/peace_operations/)
- William J. Durch, Victoria K. Holt, Caroline R. Earle, and Moira K. Shanahan The Brahimi Report and the Future of UN Peace Operations. Stimson Center. December 2003
- A More Secure World. Report of the High-level Panel on Threats, Challenges and Change. UN. New York, December 2004. [www.un.org/secureworld](http://www.un.org/secureworld).
- Secretary-General.

**11/9/2005**        **Case Study of Regional Operations: Kosovo**

Lesson 9 Instructor: Shepard Forman

*\* It is suggested that students read 2-3 starred articles according to their primary interests - Dobbins and ICG will provide the historical framework for discussions, and the ICB one option for progress.*

- James Dobbins *et al*, America's Role in Nation-building: from Germany to Iraq, RAND, 2003, pp111-128
- John Cockell, "Joint Action on Security Challenges in the Balkans" in Michael Pugh and Waheguru Pal Singh Sidhu, The United Nations and Regional Security: Europe and Beyond, Lynne Rienner, 2003\*
- Alexandros Yannis, "Kosovo: the Political Economy of Conflict and Peacebuilding", in Karen Ballentine and Jake Sherman, The Political Economy of Armed Conflict: Beyond Greed and Grievance, Lynne Rienner, 2003\*
- Katerina Månsson, "Cooperation in Human Rights: Experience from the Peace Operation in Kosovo", *International Peacekeeping*, 8/4, 2001, pp111-136\*
- David Marshall, "Reviving the Judicial and Penal System in Kosovo", in Pugh and Sidhu, *op.cit.* \*
- International Crisis Group, Collapse in Kosovo, 2004 (available at <http://www.crisisgroup.org/home/index.cfm?id=1243&l=1>), pp1-30
- International Commission on the Balkans, The Balkans in Europe's Future, (available at <http://www.balkan-commission.org/>) pp15-22

**11/16/2005** *Issues in Prevention*

Lesson 11 Instructor: Barnett Rubin

- Kofi Annan, Prevention of Armed Conflict (United Nations Publications, 2002).
- Barnett R. Rubin, Blood on the Doorstep: The Politics of Preventing Violent Conflict (New York: Council on Foreign Relations and The Century Foundation, 2002), chapters 1, 6-11.

**11/23/2005** **No Class (University running on a Monday schedule)**

**11/30/2005** *Case Study in Prevention and Statebuilding: Afghanistan*

Lesson 12 Instructor: Barnett Rubin

- Barnett R. Rubin, Humayun Hamidzada, and Abby Stoddard, Afghanistan 2005 and Beyond: Prospects for Stability (The Hague: Clingendael Institute, 2005).
- Barnett R. Rubin, "Constructing Sovereignty for Security in Afghanistan," Third World Quarterly (forthcoming).
- Chris Johnson and Jolyon Leslie, Afghanistan: The Mirage of Peace (London: Zed Books, 2004), chapters 1, 6-9.

**12/7/2005** *Student Practicum Presentations*

Lesson 13 Instructors: Bruce Jones, Shepard Forman, Barnett Rubin

**12/14/2005** *Student Practicum Presentations*

Lesson 14 Instructors: Bruce Jones, Shepard Forman, Barnett Rubin