**New York University - Export Control Checklist**

Use this checklist to assist in determining whether or not Export Control regulations apply to your project.

Does this project or agreement: | YES | NO |
---|---|---|
Restrict researcher participation (faculty, student, others) based on country of origin or citizenship? |
Require research participation in US-citizen-only meetings? |
Grant the sponsor a right of prepublication review for matters other than the inclusion of patent and/or proprietary sponsor information? |
Provide that any part of the sponsoring, granting, or establishing documents may not be disclosed? |
Limit access to confidential data and involve a controlled technology (see below)? |
Involve research containing source code for encrypted software (other than publicly available software distributed at no charge)? |
Involve research, information or software that could be used in the development of weapons of mass destruction (nuclear, biological, chemical), or their delivery systems? |
Involve equipment, software, services or technology that is on the United States Munitions List (“USML”) under the International Traffic in Arms Regulations (“ITAR”); see http://pmdtc.org/)? |
Involve equipment, software, services or technology that is on the Commerce Control List (“CCL”) under the Export Administration Regulations (“EAR”; see http://www.access.gpo.gov/bis/)? |
Involve technical information or instructions concerning equipment, software or technology on the USML or the CCL? |
Provide data, services or conduct any transaction with an embargoed country as defined by the Office of Foreign Assets Control (“OFAC”; see http://www.treas.gov/offices/enforcement/ofac/)? |

If the answer to any of these questions is “yes,” or if you have any other questions related to export controls, please contact Paul Horn, Senior Vice Provost for Research, at paul.horn@nyu.edu.

If accepting proprietary information as part of a project: | YES | NO |
---|---|---|
Is the information clearly identified? |
Can the information be appropriately protected? |
Can proprietary information be removed from research results, so that results may be freely published? |

If the answer to any of these questions is “no,” please contact Paul Horn, Senior Vice Provost for Research, at paul.horn@nyu.edu.