

Office of Sponsored Programs

RESEARCH ADMINISTRATORS FORUM

October 2017



NEW YORK UNIVERSITY





Agenda

- Update on the Common Rule (IRB)
- Implementation of NIH Forms E packages for due dates on or after January 25, 2018 (OSP)
- Project specific, sponsor mandated audits (SPA)
- Director, Conflict of Interest office and disclosure review (OSP)
- Indirect cost splits (OSP and SPA)



Update on the Common Rule

- Jane McCutcheon
 - Associate Professor, Basic Science and Craniofacial Biology, College of Dentistry
 - Member, University Committee on Activities Involving Human Subjects
 - NYU’s Faculty Representative to the Federal Demonstration Partnership
 - Creator of the “Exempt Wizard”
 - National model that can make immediate exempt determinations
 - NYU leads a pilot for FDP that begins 2018



Director, Conflict of Interest office and disclosure review (OSP)

- Erik Schneebeck
 - Research Deans, Provosts Office, OGC
 - Nancy and Erik have begun one on ones
 - obtain feedback about the process and system
 - review initial findings relative to sponsored project-specific disclosures
 - Red team review round 1: review of transactional, research specific disclosures associated with proposal submissions to PHS agencies and others that have adopted PHS COI regs as well as NSF
 - Red team review round 2: review of transactional, research specific disclosures associated with awards created
 - Corrective action requests sent to PI's, Deans, DRA's
 - PI's must complete and submit their OWN disclosures!



Director, Conflict of Interest office and disclosure review (OSP)

- Institution-wide Conflict of Interest Committee
- Composition
 - Faculty from all schools
 - Research Administrators from OSP, OIL, OGC, UCAIHS/IACUC, Compliance
- Erik will support Deans and Committee
 - Education and Training
 - Policy and procedure revisions
 - System enhancements
 - Disclosure Review
 - Recommendations
 - Management Plans
 - Communications
 - Monitoring Plans
 - Reporting (internal and external)



Indirect cost splits (OSP and SPA)

- Guidelines issued to Deans and FO's by the Budget Office, OSP and SPA 10/2/17
- Purpose: Advance agreement on the method for allocating indirect cost recoveries (ICR)
- ICR shared among collaborating and administering schools, centers/institutes must documented and reflected in CAYUSE
- Any modifications to an ICR agreement must be communicated to OSP and SPA *prior* to award
- ICR is calculated, posted in the general ledger based on direct spend, sponsor indirect cost guidelines, and NYU's ICR allocation rules
- Postings occur every day in which there is direct spending on an award



Indirect cost splits (OSP and SPA)

- 25% of the ICR is allocated to the unit administering the award
- 75% of the ICR is allocated pro rata to schools, institutes and centers that are the academic homes of the collaborating Investigators
- If an Investigator changes affiliation to another school, institute or center after time of award, the change must be communicated to OSP so Cayuse can be updated
- If an Investigator leaves or a new Investigator is appointed to the award during the project, any future ICR will be allocated pro rata
- Collaborating academic units can agree to alternative rules, provided: a) the agreement is in writing; and b) SPA has reviewed and determined that the alternative allocation rules can be systematically administered