

New York University
Research Administrators Forum
February 25, 2019

Indirect Cost Recovery (ICR) Splits

ICR Splits

- ICR splits are documented at the **PROPOSAL** stage
- Department Administrators (DAs) enter the details of the ICR split under the **Allocation of Credit %** field on the Investigators/Research Team tab in Cayuse SP
- DAs also upload...

ICR Splits, con'd

- The **email** confirming the details of the ICR split agreement
- The **email** includes:
 - The split each School agrees to honor
 - Approval of the Department Chair(s) and Center / Institute Director(s)

Dean approvals are provided via routing

Indirect Cost Rate Splits

Item List 18-0647

View or Edit completed sections by clicking the name next to the check.

- General Information
- Investigators/Research Team**
- Budget
- Conflict of Interest
- Regulatory Compliance
- Subcontractors
- Export Control
- Intellectual Property
- Location of Sponsored Activities
- Application Abstract
- Attachments
- Approving Units
- Submission Notes

Administer Proposal

Submit for Routing

>> Investigators/Research Team

NOTE: The sum of allocated credit on this proposal is currently 100%.

Add Personnel Information

* Last Name:

* First Name:

Phone:

Email:

* Total Person Months per Year:

* Unit:

* Role:

* Sponsored Effort %:

Cost Shared Effort %:

Allocation of Credit %:

List of Personnel:

Person	Unit	Role	Sponsored Effort	Cost Shared Effort	Total Effort	Allocation of Credit	Person Months		
Yao Wang	TANDON - Electrical & Computer Engineering (ECE) (63212)	Lead Principal Investigator	100%	0%	100%	62.5%	0.25	Edit	Delete
Jan Plass	STEINHARDT - Consortium for Research and Evaluation of Advanced Technologies in Education (CREATE) (56125)	Principal Investigator	0%	0%	0%	37.5%	0.17	Edit	Delete

ICR Splits, con'd

- ICR splits are confirmed at the **AWARD** stage
- Detailed guidance is available on the OSP Wiki at:
[https://wikis.nyu.edu/display/RES/Indirect+Cost+Recovery+\(ICR\)+Splits+at+NYU](https://wikis.nyu.edu/display/RES/Indirect+Cost+Recovery+(ICR)+Splits+at+NYU)
- Please note that OSP and SPA are in the process of updating the guidance to match the process

Award: A18-0513-001	Sponsor: Pace University	Award Begin-End Dates: 09/01/2017 - 8/31/2019
Project: A18-0513	Prime Sponsor: National Science Foundation (NSF)	Amount: \$157,518.00 (Obligated)
Lead PI: Yao Wang	Sponsor Award: CD0005823	Prime Account: 25-56125-WSQPG-S4452 (Active)
Admin Unit: TANDON - Electrical & Computer Engineering (ECE)	Instrument Type: SUBR - Subrecipient	Last Update: 11/06/2018 01:34 PM EST (by LaToya Black)
Project Title: Plant Tracer: a time-lapse App for students to identify, visualize, quantify and report novel mutants in plant motion		

General

People

Events

Budget

Distributions

Proposals

****NOTE: The sum of allocated credit on this award is currently 100%.****

Add/Edit Contact Information

* Last Name:	<input type="text"/>	* First Name:	<input type="text"/>
* Unit:	TANDON - Electrical & Computer Eng	* Role:	Select Role...
* Sponsored Effort %:	<input type="text"/>	Cost Shared Effort %:	<input type="text"/>
Allocation of Credit %:	<input type="text"/>	* Person Months:	<input type="text"/>

Save Contact

Cancel

Personnel Affiliated with this Award

Person	Unit	Role	Sponsored Effort	Cost Shared Effort	Total Effort	Allocation of Credits	Person Months	
+ Yao Wang	TANDON - Electrical & Computer Engineering (ECE) (63212)	Lead Principal Investigator	100%	0%	100%	62.5%	0.25	Edit Delete
+ Jan Plass	STEINHARDT - Consortium for Research and Evaluation of Advanced Technologies in Education (CREATE) (56125)	Principal Investigator	100%	0%	100%	37.5%	0.17	Edit Delete

Sponsored Projects Administration- ICR Split - A Recap On Basics

1. ICR rates on sponsored awards vary depending on where the work is performed and the type of activity for which the award is made. NYU requests our federally negotiated allowable ICR in all sponsored program applications unless prohibited to do so by statutory limitation or sponsor policy. The actual amount allowed for ICR can vary from a low of 0% to as much as 62.5% depending on place of performance, activity type, statutory limitation and sponsor policy.
2. There are no federal rules, restrictions, or guidelines regarding how an institution should internally allocate the ICR from sponsored awards. Schools at NYU have varying rules for allocation of ICR within the school.
3. The guidelines below apply to all sponsored awards, regardless of amount of the award or the allowed ICR.
4. At the time of proposal submission, an agreement that details how the ICR will be shared among collaborating schools, centers and institutes should be documented and reflected in the CAYUSE grants management system. If the proposal results in an award, the agreement will be implemented.

NOTE: Any modifications to an ICR agreement must be made prior to award.

5. ICR is calculated and posted in the University's general ledger based on direct spend, sponsor indirect cost guidelines, and NYU's ICR allocation rules. Postings occur every day in which there is direct spending of an award.

For further guidance please refer to the Guidelines for Sharing of Indirect Cost Recovery (ICR) * on Sponsored Projects with Investigators from More than One School, Institute or Center issued October 2, 2017

<https://wikis.nyu.edu/pages/viewpage.action?spaceKey=RES&title=Indirect+Cost+Recovery+%28ICR%29+Splits+at+NYU>

Sponsored Projects Administration- FAME Process

- The split will be based on the Allocation of Credit percentage designated by the Departmental Administrator during the proposal stage. Once the award is granted and approved at OSP and the Cayuse record is obligated, the ICR splits will go into effect at the time the project is established in FAME. The ICR allocation will happen automatically and seamlessly behind the scenes.
- PSO, SPA and OSP have worked to ensure most instances of an ICR split is captured and supported by the integration between Cayuse and FAME.
- As with all new processes there may be instances where a request for an ICR split may be complex and not supported by the current logic in the system or a split will be determined necessary after an award has been issued and has general ledger expenses activity for some time. In such a case please contact OSP. OSP will work with SPA to determine the best course of action. Each case will be reviewed and addressed accordingly.
- OSP and SPA will communicate at all times to ensure the departments receive prompt resolution and guidance.
- Further information will be communicated as we get closer to a go live date. Stay tuned!
- If you have any questions regarding SPA processes for ICR splits, we are more than happy to address them. Please email cdv.spa@nyu.edu.