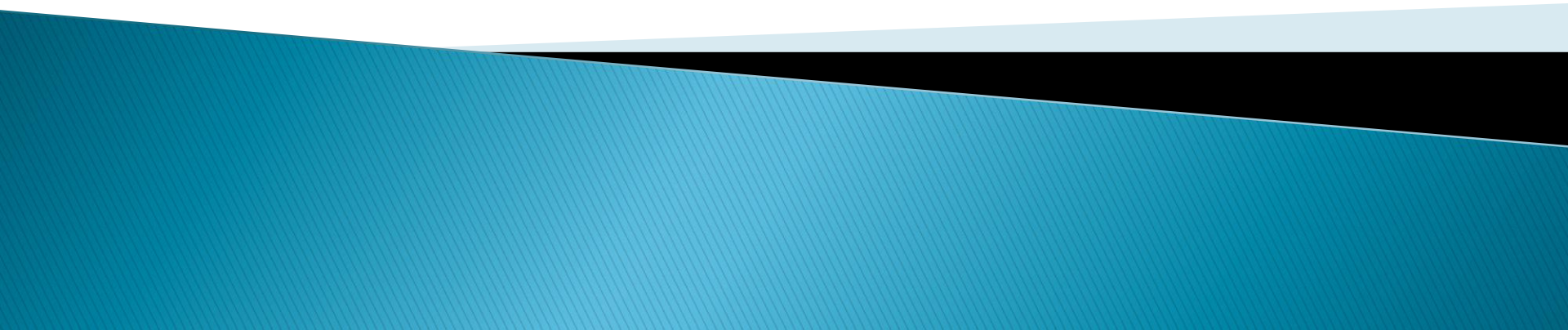


Research Administrators' Forum

November 1, 2016



NIH

Update

Clinical Trials

- ▶ Enhancing Clinical Trial Stewardship & Transparency
- ▶ NIH invests more than \$3 billion each year to fund clinical trials.
- ▶ Therefore, in order to improve its stewardship of clinical trials, NIH recently announced the following reforms, which are crucial first steps in achieving its goal:
 - ▶ • Expectation that all NIH-funded investigators and staff who are involved in the conduct, oversight, or management of clinical trials be trained in **Good Clinical Practice (GCP)**. – Effective January 1, 2017
 - ▶ • Expectation that all NIH-funded clinical trials are registered and that results are submitted to ClinicalTrials.gov whether or not subject to FDAAA. – Applies to grants, contracts, and intramural clinical trials submitted on or after January 18, 2017.
 - ▶ • Requires all applications involving one or more clinical trials to be submitted in response to a clinical-trial specific FOA. – Effective for receipt dates on or after September 27, 2017.
- See NOT-OD-16-148; NOT-OD-16-149; and NOT-OD-16-147 for additional information

NRSAs

- ▶ Kirschstein National Research Service Awards (NRSA)
- ▶ NIH announced the following projected stipend levels for NRSA postdoctoral trainees and fellows in FY 2017
- ▶ NRSA stipends in FY17 will ensure that all postdoctoral NRSA recipients are above the \$47,476 FLSA exemption threshold in order to have parity with postdoc associates
- ▶ See NOT-OD-16-134 for details

▶ Yrs	Stipend for FY 2016	FY2017	Monthly Stipend
▶ 0	\$43,692	\$47,484	\$3,957
▶ 1	\$45,444	\$47,844	\$3,987
▶ 2	\$47,268	\$48,216	\$4,018
▶ 3	\$49,152	\$50,316	\$4,193
▶ 4	\$51,120	\$52,140	\$4,345
▶ 5	\$53,160	\$54,228	\$4,519
▶ 6	\$55,296	\$56,400	\$4,700
▶ 7 +	\$57,504	\$58,560	\$4,880

RPPR – REMINDERS

- ▶ Timely Reporting using RPPR format
- ▶ **Non-SNAP** – Due 60 days before budget period ends
- ▶ **SNAP** – Due 45 days before budget period ends
- ▶ Multi-year funded progress reports due on the anniversary of the budget/project period start date of the award

NSF

Update

THE NATIONAL SCIENCE FOUNDATION

PROPOSAL AND AWARD
POLICIES
AND
PROCEDURES GUIDE



Effective Date | January 30, 2017
NSF 17-1
OMB Control Number 3145-0058

NSF PAPPG

- ▶ Effective Jan 30, 2017
- ▶ https://www.nsf.gov/publications/pub_summary.jsp?ods_key=nsf17001
- ▶ This is the NSF Bible so bookmark the site and select html and/or pdf
- ▶ No longer “two” documents → Chapters numbered I–XII
- ▶ Webinars on Nov 7 & Jan 19.
 - Registration required:
<https://www.eiseverywhere.com/ereg/newreg.php?eventid=208602&>

PAPPG – Significant Changes

- ▶ Several! Check out the NSF summary. The focus is on increased clarity
- ▶ Collaborator & Other Affiliations Information
 - Thesis Advisor and Postgraduate Scholar Sponsor replaced with PhD Advisor
 - Instructions added for placement of this section in separately submitted collaborative proposals
- ▶ Cost Sharing
 - Reference added to 200.99, voluntary committed CS
 - Voluntary committed vs voluntary uncommitted

PAPPG, con'd

- ▶ Separate section developed for Types of Proposals (RAPID, EAGER, etc.)
- ▶ International Travel Grants have been expanded to address support for both domestic and international travel
- ▶ REMINDER – Automated Checks
 - Project Summary (PS): 1 pg w/3 sections: Overview, Intellectual Merit and Broader Impacts
 - A PS with special characters may still be submitted as a supplementary doc

Fair Labor Standards Act (FLSA)

- Exempt Criteria
 - salaried, (paid a predetermined and fixed salary not subject to reduction because of variations in the quality or quantity of work performed (the "salary basis test");
 - paid more than a specified weekly salary level, which, effective 12/1/16 is \$913 per week (the equivalent of \$47,476 annually for a full-year worker) under this Final Rule (the "salary level test"); and
 - primarily perform executive, administrative, or professional duties, as defined in the Department's regulations (the "duties test").
- Non-Exempt and Overtime eligible

Full-time Researchers

Generally, full-time research titles at NYU are considered exempt:

- Junior Research scientists and Scholars
- Assistant Scientists and Scholars
- Associate research scientists and Scholars
- Senior Research Scientists and Scholars
- Postdoctoral associates*

Effective December 1, 2016 will be increased to the new threshold of \$47,476

*excludes postdoc fellows who receive stipends, not salaries

Part-time Researchers

Effective December 1, 2016 Part-Time Researchers will be considered non-exempt

Current Part-time Exempt Researchers will be converted to non-exempt.

- Assistant Research Scientist: minimum hourly rate: \$18 per hour
- Associate Research Scientist minimum hourly rate: \$26 per hour

Part-time non-exempt research staff are eligible for overtime when they work over 40 hours.

Single IRB

- ▶ Policy issued by NIH, effective 5/25/16
- ▶ Mandates a single IRB for multi-site projects
- ▶ Goal is speed and efficiency of IRB reviews, but
 - Can overwhelm the IRB of record if large no. of sites
 - Can be outsourced to commercial IRB (which NYU is likely to do)
 - Can be charged as a direct cost, but no estimates of costs yet
 - IRB software systems will have to be revised to accommodate
 - NIH has promised guidance on 7 related issues, but only one has issued
- ▶ COGR and AAU have requested a one-year extension

Tuition remission charges

- ▶ Charges to grants for graduate students will remain as they have been:
 - Non-union RAs will be charged tuition remission at 37% or 51% of salary, depending on the unit
 - Union RAs will be charged the fringe benefits rate of 29.5% of salary
- ▶ Charges from 9/1 – present will be rolled back