Guiding Principles and Practices for
NYU Arts & Science – NYUAD Partnership

This document summarizes the main points of discussion and agreement at the deans’ summit held at NYU Abu Dhabi in April, 2013. The principles are designed to enhance cross-campus communications at the administrative level; facilitate the smooth operation of existing processes and create new processes for hiring, tenure, and promotion of NYUAD faculty; establish a timeline and clear procedures for the circulation of NYU Arts & Science affiliate faculty in Abu Dhabi; and lay the groundwork for the articulation of NYU Arts & Science graduate programs and graduate students with NYUAD.

Global Research Faculty

In order to optimize consultation and academic exchange across NYU campuses, we propose the creation of a new status, Global Faculty, to designate NYUAD faculty as participants in the global research and teaching activities of NYU Arts & Science departments and programs. Inclusion of such faculty will strengthen such units and represent important additional resources for teaching and research across the GNU.

NYU Arts & Science departments and programs will play a dual role in NYUAD hiring of tenured or tenure track faculty. They will be consulted to make recommendations on NYUAD appointments, and they will be asked to consider new NYUAD faculty as Global Faculty members of their own unit, which means inviting NYUAD faculty into a collaborative relationship whose core includes research cooperation and the training of graduate students.

A candidate recommended by the NYU Arts & Science Department for appointment to a tenured or tenure track line at NYUAD will automatically be designated as a Global Faculty member of that department.

In addition, working through Deans in NYU Arts & Science, Deans at NYUAD may propose to the appropriate department or program in NYU Arts & Science other full-time faculty members as Global Faculty.

Global Faculty will be listed on the department/program catalogs and website and in other listings of the unit's faculty. Global Faculty are understood to be research-active faculty of high quality for whom the department agrees to participate, as required, in making recommendations regarding advancement (for example, third year and tenure reviews). By voting for Global Faculty status, NYU Arts & Science departments or programs acknowledge willingness to host such faculty when they are in residence in New York, subject to space availability, and when in residence contribute to the educational and scholarly activities of the unit.

Global Faculty are on a pathway to be considered by the NYU Arts & Science department for Associated faculty status (or comparable status that confers eligibility to serve as core members of doctoral committees), at whatever time and by whatever process that unit normally follows. This could take place simultaneously with appointment as a Global Faculty member or at any time during the Global Faculty member’s appointment.

NYUAD Faculty Advancement

Consistent with established practices in FAS, the NYUAD deans will communicate to NYU Arts & Science divisional deans by a set deadline the names of individuals who will be considered in the
calendar or academic year for third-year review and tenure review. Third-year and tenure review committees will be appointed by the relevant divisional dean at NYUAD in consultation with the divisional dean of NYU Arts & Science and the head of the appropriate academic unit in Arts & Science.

The committees will include faculty from both NYU Arts & Science and NYUAD. The expectation is that as the NYUAD faculty grows, the balance of such committees will shift toward a greater representation of NYUAD faculty.

3rd Year Review Process

The charge of the committee will be to prepare a report to the appropriate NYUAD divisional dean (or a divisional committee made up of senior faculty appointed by the appropriate NYUAD divisional Dean). The NYUAD Dean will discuss the 3rd year review committee’s report and if applicable the divisional committee recommendation with the faculty member.

The committee’s report will also be sent to the appropriate NYU Arts & Science Department for information and comment.

Tenure Review Process

Tenure committees will conduct evaluations and prepare a report for the appropriate NYU Arts & Science academic unit. The report, the NYU Arts & Science unit’s recommendation and comments, and a letter from the department chair will be conveyed to the NYU Arts & Science divisional Dean and to the appropriate NYUAD divisional Dean (or a divisional committee made up of senior faculty appointed by the appropriate NYUAD divisional Dean). When such a divisional committee exists, it will then report its recommendation to the NYUAD divisional Dean. The divisional committee or the NYUAD divisional Dean will review and prepare a docket for the Promotion and Tenure Committee at NYUAD. This docket will consist of the committee’s report; documents pertaining to the votes of the faculty units at NYU Arts & Science and, if applicable, NYUAD; and additional external review letters solicited by the NYUAD divisional Dean. NYUAD P&T will make its recommendation to the NYUAD Provost.

Affiliated faculty

NYU Arts & Science Affiliated faculty are NYU faculty who teach on a temporary basis at NYUAD, typically for one semester or one year. In the long term, the presence of affiliated faculty will remain an integral part of the teaching and research mission of NYUAD even after the NYUAD standing faculty reaches full strength. The rotation of NYU Arts & Science faculty to NYUAD is a crucial part of the intellectual environment in AD.

A status report will be prepared annually by NYUAD administration for NYU Arts & Science divisional deans describing all existing agreements in relation to affiliated faculty – both affiliated faculty who have attended NYUAD in the past, and those who have (a) recurring and (b) one-off arrangements to go as affiliated faculty in the future.

Conversation between deans at NYUAD and NYU Arts & Science and subsequent communication with NYU Arts & Science department chairs must precede identification of and communication with potential affiliated faculty, including potential J-term assignments. The timeline for communication and selection of affiliated faculty will adhere to the established procedures for the appointment of FAS Affiliate Faculty to NYUAD. (See attached Timeline of Procedures for FAS Affiliate Faculty at NYUAD and NYUSH).

The offer of an affiliated faculty position will include a firm requirement to participate fully in an orientation program conducted at NYU in New York by NYUAD and a strong expectation they will
mentor NYUAD junior faculty, where appropriate.

Graduate Programs

We propose the following models for the coordination of graduate student movement, with the understanding that all specific arrangements must receive prior approval of NYUAD and GSAS.

1. GSAS students as short-term visitors at NYUAD:

   **Short-term training or research opportunities:** Training programs for doctoral or master’s students might involve travel to NYUAD for periods shorter than one semester. If conducted during the academic term, participation in such opportunities requires approval of students’ departments or programs. Examples include dissertation writing workshops, ethnographic training workshops, and research rotations.

   **Study semesters:** GSAS graduate programs will have the option to work with NYUAD to develop opportunities for masters and/or doctoral students to complete part of their course requirements while in residence in AD (pending accreditation approval). Graduate students who are granted permission to study at NYUAD for one or more semesters must be in residence at NYU in New York for at least one semester of coursework.

2. GSAS students conducting dissertation research at NYUAD:

   Doctoral students who have completed all coursework in New York are eligible to spend a semester or more to conduct research at NYUAD. Such doctoral students would be sponsored under the supervision of an NYUAD faculty member approved by the NY-department to have such a role. The NYUAD faculty member would normally become the student’s co-advisor or advisor.

3. GSAS students admitted to NYUAD tracks inside NYU graduate programs

   Students in some programs will be invited to indicate interest in studying in NYUAD when applying to a graduate program. Such students may be admitted by the program to study primarily at NYUAD (assuming the department approves such a track and its admissions committee includes members of the NYUAD faculty). NYU Arts & Science and relevant NYUAD faculty would participate in recruitment and admissions review and decisions. Admitted students would complete some portion of coursework in New York and would move to NYUAD to complete portions of requirements and conduct dissertation research. Students in NYUAD tracks would be admitted with the normal funding packages of other doctoral students in the department (multi-year support packages with tuition, fees, health insurance, stipend, dean’s startup, etc.). Departments would develop mechanisms for students to seek approval to switch advisors and/or tracks (that is, to conclude their studies in Abu Dhabi if admitted to study in New York or to conclude their studies in New York if admitted to NYUAD).

4. Dual degree programs

   For any future NYUAD stand-alone doctoral program, coordination across NYUAD and NYU Arts & Science will be achieved by creating dual degree MA/MS-PhD programs. A student admitted to the dual degree program will pursue a master’s degree in a GSAS programs in New York and, following successful completion of the degree, will be eligible to enter the linked doctoral program at NYUAD.

Funding and academic affairs principles regarding graduate studies:
Funding arrangements must be established for all the above models. All NYUAD funding decisions would be subject to the approval of NYU’s partners in Abu Dhabi. General principles include that NYUAD would assume the costs (stipend, fees, health insurance) for the multi-year package of support, for each student admitted in an approved NYUAD track, as well as for each student in a GSAS program who goes to NYUAD to complete dissertation research. NYUAD will also pay for travel for students to do research in residence in NYUAD. NYUAD may offer students, and may pay them directly, to cover travel costs, housing, research funds, or other enhancements but may not offer increased MacCracken stipends.

The GSAS Policies and Procedures Manual and University policies will remain in force for all students in GSAS programs traveling to or in residence at NYUAD. GSAS will coordinate with NYUAD staff with regard the implementation of grievance or disciplinary procedures.

APPENDIX A

Timeline for FAS Affiliated Faculty at NYUAD

Tracking with recently established procedures in New York, the NYUAD deans in conjunction with NYUAD leadership will aim to develop a three year plan for bringing affiliated faculty to NYUAD, subject to reasonable revision.

The process begins roughly 18 months in advance of the term in which the affiliate faculty will teach. In spring, the NYUAD deans in consultation with their faculties will create a target list of courses and/or faculty members and share it with the NYU Arts & Science divisional deans.

April-May: NYUAD deans send list of areas or courses needing coverage and/or specific requested affiliate faculty to FAS divisional deans. After consultation, NYUAD deans will first clear requests with departmental chairs, then contact individual faculty.

June: FAS and AD administration check in and create secondary plans if necessary.

October: List of proposed NYU Arts & Science affiliate faculty is finalized.

November: Draft of offer letters sent from NYUAD to NYUN Arts & Science deans, to coincide with applications for leaves.

December 1: NYU Arts & Science composes partner letters for affiliates that clarify their NYU Arts & Science appointments, contingent on final approval from AD.

January-ongoing: NYU Arts & Science partner letters go out to faculty

February 15: Deadline for committed faculty to confirm affiliate status and terms (and teaching assignments when possible).

NB: The February deadline may be flexible to allow for fellowship and grant decisions and successful completion of security clearances, according to the judgment of the AD administration.