New York University
HIPAA Information Security Policies
Effective Date: 1/1/05         Compliance Date: 4/21/05
Date of Latest Revision: December 23, 2004
   April 15, 2005
   May 11, 2005
   May 31, 2005
   February 2, 2011
   August 14, 2013
   February 26, 2016
   October 3, 2016

Table of Contents

HIPAA Policy 1 Overview - Policies, Procedures, and Documentation
   Operational Requirements

HIPAA Policy 2 Security Management Process
   Operational Requirements
   Operational Specification 2.A Risk Analysis
   Operational Specification 2.B Risk Management
   Operational Specification 2.C Security Sanctions
   Operational Specification 2.D Information System Activity Review

HIPAA Policy 3 Assigned Security Responsibility
   Operational Requirements

HIPAA Policy 4 Workforce Security
   Operational Requirements
   Operational Specification 4.A Authorization and/or Supervision
   Operational Specification 4.B Workforce Clearance Procedure
   Operational Specification 4.C Termination Procedures

HIPAA Policy 5 Information Access Management
   Operational Requirements
   Operational Specification 5.A Access Authorization
   Operational Specification 5.B Access Establishment and Modification

HIPAA Policy 6 Security Awareness and Training
   Operational Requirements
   Operational Specification 6.A Security Reminders
   Operational Specification 6.C Log-in Monitoring
   Operational Specification 6.D Password Management

HIPAA Policy 7 Security Incident Procedures
   Operational Requirements
   Operational Specification 7.A Response and Reporting

HIPAA Policy 8 Contingency Plan
   Operational Requirements
   Operational Specification 8.A Data Backup Plan
   Operational Specification 8.B Disaster Recovery Plan
Operational Specification 8.E Applications and Data Criticality Analysis

HIPAA Policy 9 Evaluation
Operational Requirements

HIPAA Policy 10 Business Associate Contracts and Other Arrangements
Operational Requirements

HIPAA Policy 11 Facility Access Controls
Operational Requirements
Operational Specification 11.C Access Control and Validation Procedures
Operational Specification 11.D Maintenance Records

HIPAA Policy 12 Workstation Use
Operational Requirements

HIPAA Policy 13 Workstation Security
Operational Requirements

HIPAA Policy 14 Device and Media Controls
Operational Requirements
Operational Specification 14.A Disposal
Operational Specification 14.B Media Re-use
Operational Specification 14.C Accountability
Operational Specification 14.D Data Backup and Storage

HIPAA Policy 15 Access Control
Operational Requirements
Operational Specification 15.A Unique User Identification
Operational Specification 15.C Automatic Logoff
Operational Specification 15.D Encryption and Decryption

HIPAA Policy 16 Audit Controls
Operational Requirements

HIPAA Policy 17 Integrity Controls
Operational Requirements
Operational Specification 17.A Mechanism to Authenticate Electronic Protected Health Information

HIPAA Policy 18 Person or Entity Authentication
Operational Requirements

HIPAA Policy 19 Transmission Security
Operational Requirements
Operational Specification 19.A Integrity Controls

Definitions