

## Lecture XI

**Solving the electronic eigenvalue problem:** In the Born-Oppenheimer approximation, the electronic eigenvalue problem takes the form.

$$[T_e + V_{ee} + V_{en}] \psi(\mathbf{x}, \mathbf{R}) = \varepsilon(\mathbf{r}) \psi(\mathbf{x}, \mathbf{R})$$

$$V_{ee} = \frac{1}{2} \sum_{\substack{i,j \\ i \neq j}} \frac{1}{|\mathbf{r}_i - \mathbf{r}_j|}$$

$$V_{eN} = \sum_{i=1}^M \sum_{J=1}^N \frac{Z_J}{|\mathbf{r}_i - \mathbf{R}_J|}$$

$$\text{Let } V_{ext}(\mathbf{r}) = - \sum_{I=1}^N \frac{Z_I}{|\mathbf{r} - \mathbf{R}_I|}$$

$$V_{eN} = \sum_{i=1}^M V_{ext}(\mathbf{r}_i)$$

where  $\mathbf{x}$  denotes the electronic coordinates, and  $\mathbf{R}$  denotes the nuclear coordinates.

Crudest approximation. Assume the equation is separable and that the electrons are distinguishable. Then, we would take a product form for the solution:

$$\begin{aligned} \psi(\mathbf{x}_1, \mathbf{R}) &= \varphi_1(\mathbf{x}_1, \mathbf{R}) \varphi_2(\mathbf{x}_2, \mathbf{R}) \cdots \varphi_M(\mathbf{x}_M, \mathbf{R}) \\ &= \varphi_{\alpha_1}(\mathbf{x}_1, \mathbf{R}) \varphi_{\alpha_2}(\mathbf{x}_2, \mathbf{R}) \cdots \varphi_{\alpha_M}(\mathbf{x}_M, \mathbf{R}) \\ &= \prod_{k=1}^M \varphi_k(\mathbf{x}_k, \mathbf{R}) \end{aligned}$$

From here on out, the  $\mathbf{R}$  dependence will be largely suppressed, although it should be remembered that this dependence is, nevertheless, there.

Note, also, that we are denoting the quantum numbers  $\alpha_k$  simply by  $k$  for notational convenience and simplicity.

If  $V_{ee} = 0$ , this would be exact for distinguishable electrons.

The functions  $\varphi_k(\mathbf{x}_k, \mathbf{R})$ , also referred to as orbitals, are required to be orthonormal to each other:

$$\sum_M \int d\mathbf{r} \varphi_k^*(\mathbf{x}) \varphi_{k'}(\mathbf{x}) = \int d\mathbf{x} \varphi_k^*(\mathbf{x}) \varphi_{k'}(\mathbf{x}) = \delta_{kk'}$$

Note, that, in this approximation, the total energy is simply a sum:

$$\begin{aligned} \varepsilon(\mathbf{R}) &= \varepsilon_1(\mathbf{R}) + \varepsilon_2(\mathbf{R}) + \dots + \varepsilon_M(\mathbf{R}) \\ &= \sum_{k=1}^M \varepsilon_k(\mathbf{R}) \end{aligned}$$

The functions would satisfy

$$\left[ -\frac{1}{2} \nabla_k^2 + V_{\text{ext}}(\mathbf{r}_k) \right] \varphi_{\alpha_k}(\mathbf{x}_k) = \varepsilon_{\alpha_k} \varphi_{\alpha_k}(\mathbf{x}_k)$$

But since  $V_{ee} \neq 0$ , these solutions are not solutions of the full electronic structure problem. However, we **can** try to optimize the functions so as to give us the best approximate solution in a variational sense.

Let

$$E[\psi, \mathbf{R}] = \langle \psi(\mathbf{R}) | H_e(\mathbf{R}) | \psi(\mathbf{R}) \rangle$$

$$\begin{aligned} \Rightarrow E[\{\varphi\}, \mathbf{R}] &= \int d\mathbf{x}_1 \dots d\mathbf{x}_M \varphi_1^*(\mathbf{x}_1) \dots \varphi_M^*(\mathbf{x}_M) [T_e + V_{ee} + V_{eN}] \varphi_1(\mathbf{x}_1) \dots \varphi_M(\mathbf{x}_M) \\ &= \int d\mathbf{x}_1 \dots d\mathbf{x}_M \prod_{k=1}^M \varphi_k^*(\mathbf{x}_k) [T_e + V_{eN}] \prod_{k=1}^M \varphi_k(\mathbf{x}_k) \\ &\quad + \frac{1}{2} \int d\mathbf{x}_1 \dots d\mathbf{x}_M \prod_{k=1}^M \varphi_k^*(\mathbf{x}_k) \sum_{\substack{i,j \\ i \neq j}} \frac{1}{|\mathbf{r}_i - \mathbf{r}_j|} \prod_{k=1}^M \varphi_k(\mathbf{x}_k) \end{aligned}$$

The first term in this expression is:

$$\int d\mathbf{x} \dots d\mathbf{x}_M \prod_{k=1}^M \varphi_k^*(\mathbf{x}_k) [T_e + V_{eN}] \prod_{k=1}^M \varphi_k(\mathbf{x}_k)$$

$$\begin{aligned}
&= \int d\mathbf{x} \cdots d\mathbf{x}_M \prod_{k=1}^M \varphi_k^*(\mathbf{x}_k) \sum_{i=1}^M \left[ -\frac{1}{2} \nabla_i^2 + V_{\text{ext}}(\mathbf{r}_i) \right] \prod_{k=1}^M \varphi_k(\mathbf{x}_k) \\
&= \int d\mathbf{x}_1 \varphi_1^*(\mathbf{x}_1) \left[ -\frac{1}{2} \nabla_1^2 + V_{\text{ext}}(\mathbf{r}_1) \right] \varphi_1(\mathbf{x}_1) \int d\mathbf{x}_2 \varphi_2^*(\mathbf{x}_2) \varphi_2(\mathbf{x}_2) \cdots \int d\mathbf{x}_M \varphi_M^*(\mathbf{x}_M) \varphi_M(\mathbf{x}_M) \\
&+ \int d\mathbf{x}_1 \varphi_1^*(\mathbf{x}_1) \varphi_1(\mathbf{x}_1) \int d\mathbf{x}_2 \varphi_2^*(\mathbf{x}_2) \left[ -\frac{1}{2} \nabla_2^2 + V_{\text{ext}}(\mathbf{r}_2) \right] \varphi_2(\mathbf{x}_2) \cdots \int d\mathbf{x}_M \varphi_M^*(\mathbf{x}_M) \varphi_M(\mathbf{x}_M) \\
&+ \cdots + \int d\mathbf{x}_1 \varphi_1^*(\mathbf{x}_1) \varphi_1(\mathbf{x}_1) \cdots \int d\mathbf{x}_M \varphi_M^*(\mathbf{x}_M) \left[ -\frac{1}{2} \nabla_M^2 + V_{\text{ext}}(\mathbf{r}_M) \right] \varphi_M(\mathbf{x}_M) \\
&= \sum_{k=1}^M \int d\mathbf{x}_k \varphi_k^*(\mathbf{x}_k) h(\mathbf{r}_k) \varphi_k(\mathbf{x}_k) \\
&h(\mathbf{r}_k) = -\frac{1}{2} \nabla_k^2 + V_{\text{ext}}(\mathbf{r}_k) \\
&\Rightarrow \sum_{k=1}^M \langle \varphi_k | h(\mathbf{r}) | \varphi_k \rangle
\end{aligned}$$

Second term:

$$\begin{aligned}
&\frac{1}{2} \int d\mathbf{x}_1 \cdots d\mathbf{x}_M \prod_{k=1}^M \varphi_k^*(\mathbf{x}_k) \sum'_{i,j} \frac{1}{|\mathbf{r}_i - \mathbf{r}_j|} \prod_{k=1}^M \varphi_k(\mathbf{x}_k) \\
&= \frac{1}{2} \sum'_{k,l} \int d\mathbf{x}_k d\mathbf{x}_l \varphi_k^*(\mathbf{x}_k) \varphi_l^*(\mathbf{x}_l) \frac{1}{|\mathbf{r}_k - \mathbf{r}_l|} \varphi_k(\mathbf{x}_k) \varphi_l(\mathbf{x}_l) \\
&= \frac{1}{2} \sum'_{k,l} \langle \varphi_k \varphi_l | \frac{1}{|\mathbf{r}_k - \mathbf{r}_l|} | \varphi_k \varphi_l \rangle \\
E[\{\varphi\}, \mathbf{R}] &= \sum_{k=1}^M \langle \varphi_k | h(\mathbf{r}_k) | \varphi_k \rangle + \frac{1}{2} \sum'_{k,l} \langle \varphi_k \varphi_l | \frac{1}{|\mathbf{r}_k - \mathbf{r}_l|} | \varphi_k \varphi_l \rangle
\end{aligned}$$

Now, we variationally optimize the functions  $\varphi_k(\mathbf{x}_k)$

In principle, we should solve

$$\frac{\partial E}{\partial \langle \varphi_k |} = 0$$

However, we need to ensure  $\langle \varphi_k | \varphi_k \rangle = 1$ , a condition we need to include via Lagrange multipliers:

$$\frac{\partial}{\partial \langle \varphi_k |} \left\{ E[\{\varphi\}, \mathbf{R}] - \sum_i \lambda_i (\langle \varphi_i | \varphi_i \rangle - 1) \right\} = 0$$

$$\frac{\partial}{\partial \langle \varphi_k |} \left[ \sum_i \langle \varphi_i | h(\mathbf{r}_i) | \varphi_j \rangle + \frac{1}{2} \sum_{i,j} \langle \varphi_i \varphi_j | \frac{1}{|\mathbf{r}_i - \mathbf{r}_j|} | \varphi_i \varphi_j \rangle - \sum_i \lambda_i (\langle \varphi_i | \varphi_i \rangle - 1) \right] = 0$$

$$\left[ -\frac{1}{2} \nabla^2 + \sum_{\ell \neq j} \int d\mathbf{x}' \frac{|\varphi_\ell(\mathbf{x}')|^2}{|\mathbf{r} - \mathbf{r}'|} + V_{\text{ext}}(\mathbf{r}) \right] \varphi_j(\mathbf{r}) = \lambda_j \varphi_j(\mathbf{r})$$

called the *Hartree equation*.

When we treat the electrons as indistinguishable, we cannot use the product form. Rather, we take

$$\psi(\mathbf{x}, \mathbf{R}) = \frac{1}{\sqrt{N!}} \det \begin{pmatrix} \varphi_1(\mathbf{x}_1) & \cdots & \varphi_1(\mathbf{x}_N) \\ \vdots & \ddots & \vdots \\ \varphi_N(\mathbf{x}_1) & \cdots & \varphi_N(\mathbf{x}_N) \end{pmatrix}$$

Again, we need to compute  $E[\{\varphi\}, \mathbf{R}] = \langle \psi | H_e | \psi \rangle$ .

We find:

$$E[\{\varphi\}, \mathbf{R}] = \langle \psi | H_e | \psi \rangle = \sum_{k=1}^N \langle \varphi_k | h | \varphi_k \rangle + \frac{1}{2} \sum_{k,\ell} \langle \varphi_k \varphi_\ell | \frac{1}{|\mathbf{r}_k - \mathbf{r}_\ell|} | \varphi_k \varphi_\ell \rangle - \frac{1}{2} \sum_{k,\ell} \langle \varphi_k \varphi_\ell | \frac{1}{|\mathbf{r}_k - \mathbf{r}_\ell|} | \varphi_\ell \varphi_k \rangle$$

Note the sums are **not** restricted. The first two-body integral gives

$$\begin{aligned} \sum_{k,\ell} \langle \varphi_k \varphi_\ell | \frac{1}{|\mathbf{r}_k - \mathbf{r}_\ell|} | \varphi_k \varphi_\ell \rangle &= \sum_{k,\ell} \int d\mathbf{x} d\mathbf{x}' \frac{\varphi_k^*(\mathbf{x}) \varphi_\ell^*(\mathbf{x}') \varphi_k(\mathbf{x}) \varphi_\ell(\mathbf{x}')}{|\mathbf{r} - \mathbf{r}'|} \\ &= \sum_{k,\ell} \int d\mathbf{x} d\mathbf{x}' \frac{|\varphi_k(\mathbf{x})|^2 |\varphi_\ell(\mathbf{x}')|^2}{|\mathbf{r} - \mathbf{r}'|} \\ &= \sum_{k,\ell} J_{k\ell} \end{aligned}$$

$J_{k\ell}$  is called the *Coulomb integral*. Note the change in the names of the integration variables. Since these are just integration variables, they can be called whatever we like.

$$\begin{aligned} \sum_{k,\ell} \langle \varphi_k \varphi_\ell | \frac{1}{|\mathbf{r}_k - \mathbf{r}_\ell|} | \varphi_k \varphi_\ell \rangle &= \sum_{k,\ell} \int d\mathbf{x} d\mathbf{x}' \frac{\varphi_k^*(\mathbf{x}) \varphi_\ell^*(\mathbf{x}') \varphi_\ell(\mathbf{x}) \varphi_k(\mathbf{x}')}{|\mathbf{r} - \mathbf{r}'|} \\ &= \sum_{k,\ell} K_{k\ell} \end{aligned}$$

$K_{k\ell}$  is called the *exchange integral*.

**Unitary invariance:** Consider a transformation to a new set of orbitals

$$|\psi_i\rangle = \sum_j U_{ij} |\varphi_j\rangle$$

where  $U_{ij}$  is a unitary matrix.

$$\begin{aligned} |\varphi_j\rangle &= \sum_i U_{ji}^\dagger |\psi_i\rangle = \sum_i |\psi_i\rangle U_{ij}^* \\ \sum_k \langle \varphi_k | h | \varphi_k \rangle &= \sum_k \sum_i \sum_j U_{ki} \langle \psi_i | h | \psi_j \rangle U_{jk}^* \\ &= \sum_{i,j} \sum_k U_{jk}^* U_{ki} \langle \psi_i | h | \psi_j \rangle \\ &= \sum_{i,j} \delta_{ji} \langle \psi_i | h | \psi_j \rangle \\ &= \sum_i \langle \psi_i | h | \psi_i \rangle \end{aligned}$$

Coulomb integral:

$$\frac{e^2}{2} \sum_{k,\ell} \int d\mathbf{x}_1 d\mathbf{x}_2 \frac{|\varphi_k(\mathbf{x}_1)|^2 |\varphi_\ell(\mathbf{x}_2)|^2}{|\mathbf{r}_1 - \mathbf{r}_2|}$$

Note

$$\begin{aligned}
\sum_k |\varphi_k(\mathbf{x}_1)|^2 &= \sum_k \varphi_k^*(\mathbf{x}_1) \varphi_k(\mathbf{x}_1) \\
&= \sum_k \sum_{i,j} U_{ki} \psi_i^*(\mathbf{x}_1) \psi_j(\mathbf{x}_1) U_{jk}^* \\
&= \sum_{i,j} \psi_i^*(\mathbf{x}_1) \psi_j(\mathbf{x}_1) \sum_k U_{jk}^* U_{ki} \\
&= \sum_{i,j} \psi_i^*(\mathbf{x}_1) \psi_j(\mathbf{x}_1) \delta_{jk} \\
&= \sum_i |\psi_i(\mathbf{x}_1)|^2 \\
\Rightarrow \frac{e^2}{2} \sum_{k,\ell} \int d\mathbf{x}_1 d\mathbf{x}_2 \frac{|\varphi_k(\mathbf{x}_1)|^2 |\varphi_\ell(\mathbf{x}_2)|^2}{|\mathbf{r}_1 - \mathbf{r}_2|} &= \frac{e^2}{2} \sum_{i,j} \int d\mathbf{x}_1 d\mathbf{x}_2 \frac{|\psi_i(\mathbf{x}_1)|^2 |\psi_j(\mathbf{x}_2)|^2}{|\mathbf{r}_1 - \mathbf{r}_2|}
\end{aligned}$$

Exchange integral:

$$\frac{e^2}{2} \sum_{k,\ell} \int d\mathbf{x}_1 d\mathbf{x}_2 \frac{\varphi_k^*(\mathbf{x}_1) \varphi_k(\mathbf{x}_2) \varphi_\ell^*(\mathbf{x}_2) \varphi_\ell(\mathbf{x}_1)}{|\mathbf{r}_1 - \mathbf{r}_2|}$$

Note

$$\begin{aligned}
\sum_k \varphi_k^*(\mathbf{x}_1) \varphi_k(\mathbf{x}_2) &= \sum_k \sum_{i,j} U_{ki} \psi_i^*(\mathbf{x}_1) \psi_j(\mathbf{x}_2) U_{jk}^* \\
&= \sum_{i,j} \psi_i^*(\mathbf{x}_1) \psi_j(\mathbf{x}_2) \sum_k U_{jk}^* U_{ki} \\
&= \sum_i \psi_i^*(\mathbf{x}_1) \psi_i(\mathbf{x}_2)
\end{aligned}$$

Thus,

$$\frac{e^2}{2} \sum_{k,\ell} \int d\mathbf{x}_1 d\mathbf{x}_2 \frac{\varphi_k^*(\mathbf{x}_1) \varphi_k(\mathbf{x}_2) \varphi_\ell^*(\mathbf{x}_2) \varphi_\ell(\mathbf{x}_1)}{|\mathbf{r}_1 - \mathbf{r}_2|} = \frac{e^2}{2} \sum_{i,j} \int d\mathbf{x}_1 d\mathbf{x}_2 \frac{\psi_i^*(\mathbf{x}_1) \psi_i(\mathbf{x}_2) \psi_j^*(\mathbf{x}_2) \psi_j(\mathbf{x}_1)}{|\mathbf{r}_1 - \mathbf{r}_2|}$$

Thus, total energy is invariant!

Choose  $U$  so as to diagonalize  $\lambda$ . Let  $\varepsilon_j$  be the eigenvalues. Then, we obtain,

$$\left[ -\frac{\hbar^2}{2m_e} \nabla_1^2 + V_{\text{ext}}(\mathbf{r}) + e^2 \sum_{\ell} \int d\mathbf{x}' \frac{|\psi_{\ell}(\mathbf{x}')|^2}{|\mathbf{r} - \mathbf{r}'|} \right] \psi_j(\mathbf{x}) - e^2 \sum_{\ell} \int d\mathbf{x}' \frac{\psi_{\ell}^*(\mathbf{x}') \psi_j(\mathbf{x}')}{|\mathbf{r} - \mathbf{r}'|} \psi_{\ell}(\mathbf{x}) = \varepsilon_j \psi_j(\mathbf{x})$$

which is known as the *Hartree-Fock* equation.

### Koopman's Theorem (Meaning of $\varepsilon_i$ )

Consider removing  $i^{\text{th}}$  electron from the system. The wave function can be constructed by starting with a Slater determinant for the  $M$ -electron system and crossing out the  $i^{\text{th}}$  row and column.

$$\psi'(\mathbf{x}_1 \cdots \mathbf{x}_{i-1}, \mathbf{x}_{i+1} \cdots \mathbf{x}_M, \mathbf{R}) = \frac{1}{\sqrt{N!}} \det \begin{pmatrix} \varphi_1(\mathbf{x}_1) & \cdots & \varphi_1(\mathbf{x}_i) & \cdots & \varphi_1(\mathbf{x}_N) \\ \vdots & & \ddots & & \vdots \\ \varphi_i(\mathbf{x}_1) & \cdots & \varphi_i(\mathbf{x}_i) & \cdots & \varphi_i(\mathbf{x}_N) \\ \vdots & & \vdots & \ddots & \vdots \\ \varphi_N(\mathbf{x}_1) & \cdots & \varphi_N(\mathbf{x}_i) & \cdots & \varphi_N(\mathbf{x}_N) \end{pmatrix}$$

The change in energy between the  $M$ -electron system and the  $(M-1)$ -electron system is:

$$\begin{aligned} \Delta E &= \langle \psi'(\mathbf{R}) | H_e(\mathbf{R}) | \psi'(\mathbf{R}) \rangle - \langle \psi(\mathbf{R}) | H_e(\mathbf{R}) | \psi(\mathbf{R}) \rangle \\ \Delta E &= - \int d\mathbf{x} \varphi_i^*(\mathbf{x}) h \varphi_i(\mathbf{x}) - \sum_k \int d\mathbf{x} d\mathbf{x}' \frac{|\varphi_i(\mathbf{x})|^2 |\varphi_k(\mathbf{x})|^2}{|\mathbf{r} - \mathbf{r}'|} \\ &\quad + \sum_k \int d\mathbf{x} d\mathbf{x}' \frac{\varphi_i^*(\mathbf{x}') \varphi_k^*(\mathbf{x}) \varphi_k(\mathbf{x}') \varphi_i(\mathbf{x})}{|\mathbf{r} - \mathbf{r}'|} \\ &= -\varepsilon_i \end{aligned}$$

Hence,  $-\varepsilon_i$  is the energy required to remove **1** electron from the system. Thus, this energy is simply the ionization energy. It is actually positive, since the Hartree-Fock energies tend to be all negative.

Note  $\varepsilon_k - \varepsilon_i$  equals the energy needed to move an electron from orbital  $i$  to orbital  $k$ .

Define operators  $J_l$  and  $K_l$  by their action on an orbital:

$$J_l(\mathbf{x})\varphi_j(\mathbf{x}) = \int d\mathbf{x}' \frac{|\varphi_l(\mathbf{x}')|^2}{|\mathbf{r} - \mathbf{r}'|} \varphi_j(\mathbf{x})$$

$$K_l(\mathbf{x})\varphi_j(\mathbf{x}) = \int d\mathbf{x}' \frac{\varphi_l^*(\mathbf{x}')\varphi_j(\mathbf{x}')}{|\mathbf{r} - \mathbf{r}'|} \varphi_l(\mathbf{x})$$

Define the Fock operator by

$$F = -\frac{1}{2}\nabla^2 + V_{ext}(\mathbf{r}) + \sum_{\ell} [J_{\ell}(\mathbf{x}) - K_{\ell}(\mathbf{x})]$$

so that the Hartree-Fock (HF) equations can be written compactly as

$$F\varphi_j(\mathbf{x}) = \varepsilon_j\varphi_j(\mathbf{x}).$$

### The electron charge density

The wave function for the  $M$ -electron system is

$$\psi(1,2,\dots,M) = \psi(\mathbf{r}_1, s_1, \dots, \mathbf{r}_M, s_M)$$

The electronic charge density  $n(\mathbf{r})$  is defined to be the number of electrons per unit volume.

The quantity

$$\sum_s \int d\mathbf{x}_2 \cdots d\mathbf{x}_M |\psi(\mathbf{r}, s, 2, 3, \dots, M)|^2$$

is the probability per unit volume that an electron will be found at  $\mathbf{r}$ .

Thus,  $n(\mathbf{r}) = M \sum_s \int d\mathbf{x}_2 \cdots d\mathbf{x}_M |\psi(\mathbf{r}, s, 2, 3, \dots, M)|^2$  is the number of electrons per unit volume.

Clearly,  $\int d\mathbf{r} n(\mathbf{r}) = M$  total number of electrons.

For a Slater determinant composed of orthogonal orbitals, consider the example of  $M = 2$

$$\begin{aligned}\psi(1,2) &= \frac{1}{\sqrt{2}} \begin{vmatrix} \psi_1(\mathbf{x}_1) & \psi_1(\mathbf{x}_2) \\ \psi_2(\mathbf{x}_1) & \psi_2(\mathbf{x}_2) \end{vmatrix} \\ &= \frac{1}{\sqrt{2}} \psi_1(\mathbf{x}_1)\psi_2(\mathbf{x}_2) - \psi_2(\mathbf{x}_1)\psi_1(\mathbf{x}_2)\end{aligned}$$

$$n(\mathbf{r}_1) = \sum_{s_1} \int d\mathbf{x}_2 [\psi_1^*(\mathbf{x}_1)\psi_2^*(\mathbf{x}_2) - \psi_1^*(\mathbf{x}_2)\psi_2^*(\mathbf{x}_1)] [\psi_1(\mathbf{x}_1)\psi_2(\mathbf{x}_2) - \psi_2(\mathbf{x}_1)\psi_1(\mathbf{x}_2)]$$

$$= \sum_s \psi_1^*(\mathbf{x}_1)\psi_1(\mathbf{x}_1) \int d\mathbf{x}_2 \psi_2^*(\mathbf{x}_2)\psi_2(\mathbf{x}_2) + \sum_s \psi_2^*(\mathbf{x}_1)\psi_2(\mathbf{x}_1) \int d\mathbf{x}_2 \psi_1^*(\mathbf{x}_2)\psi_1(\mathbf{x}_2)$$

$$- \sum_s \psi_1^*(\mathbf{x}_1)\psi_2(\mathbf{x}_1) \int d\mathbf{x}_2 \psi_1^*(\mathbf{x}_2)\psi_2(\mathbf{x}_2) \rightarrow 0$$

$$- \sum_s \psi_1^*(\mathbf{x}_1)\psi_2(\mathbf{x}_1) \int d\mathbf{x}_2 \psi_2^*(\mathbf{x}_2)\psi_1(\mathbf{x}_2) \rightarrow 0$$

$\left. \begin{array}{l} \\ \\ \end{array} \right\} \text{orthogonality}$

$$n(\mathbf{r}_1) = \sum_s [|\psi_1(\mathbf{x}_1)|^2 + |\psi_2(\mathbf{x}_1)|^2]$$

If we separate spatial and spin factors in the single-particle orbitals, we have:

$$\psi_1(\mathbf{x}_1) = \varphi_1(\mathbf{r}_{\varphi_1}) \chi_{m_1}(s)$$

$$\psi_2(\mathbf{x}_1) = \varphi_2(\mathbf{r}_{\varphi_2}) \chi_{m_2}(s_1)$$

Then, summing over spin gives

$$n(\mathbf{r}_1) = 2|\varphi_1(\mathbf{r}_1)|^2 + 2|\varphi_2(\mathbf{r}_1)|^2$$

Generally,

$$n(\mathbf{r}_1) = 2 \sum_{k=1}^M |\varphi_k(\mathbf{r}_1)|^2 = \sum_{s_1} \sum_{k=1}^M |\psi_k(\mathbf{x}_1)|^2$$

Note that the Coulomb integral can be written as:

$$\begin{aligned}
\frac{e^2}{2} \sum_{k,\ell} \int d\mathbf{x}_1 d\mathbf{x}_2 \frac{|\psi_k(\mathbf{x}_1)|^2 |\psi_\ell(\mathbf{x}_2)|^2}{|\mathbf{r}_1 - \mathbf{r}_2|} &= \frac{e^2}{2} \int d\mathbf{x}_1 d\mathbf{x}_2 \frac{\left( \sum_k |\psi_k(\mathbf{x}_1)|^2 \right) \left( \sum_\ell |\psi_\ell(\mathbf{x}_2)|^2 \right)}{|\mathbf{r}_1 - \mathbf{r}_2|} \\
&= \frac{e^2}{2} \int d\mathbf{r}_1 d\mathbf{r}_2 \frac{\left( \sum_{s_1} \sum_k |\psi_k(\mathbf{x}_1)|^2 \right) \left( \sum_{s_2} \sum_\ell |\psi_\ell(\mathbf{x}_2)|^2 \right)}{|\mathbf{r}_1 - \mathbf{r}_2|} \\
&= \frac{e^2}{2} \int d\mathbf{r}_1 d\mathbf{r}_2 \frac{n(\mathbf{r}_1) n(\mathbf{r}_2)}{|\mathbf{r}_1 - \mathbf{r}_2|}
\end{aligned}$$

which is called the Hartree energy.

Note that the Coulomb integral in the Hartree equation

$$\begin{aligned}
e^2 \sum_{\ell \neq j} \int \frac{|\varphi_\ell(\mathbf{r}')|^2}{|\mathbf{r} - \mathbf{r}'|} d\mathbf{r}' &= e^2 \sum_\ell \int d\mathbf{r}' \frac{|\varphi_\ell(\mathbf{r}')|^2}{|\mathbf{r} - \mathbf{r}'|} - e^2 \int d\mathbf{r}' \frac{|\varphi_j(\mathbf{r}')|^2}{|\mathbf{r} - \mathbf{r}'|} \\
&= e^2 \int d\mathbf{r}' \frac{n(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} + e \int d\mathbf{r}' \frac{n_j^H(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|}
\end{aligned}$$

Thus, in the Hartree equation, we subtract off the so-called self-interaction term, which would be the interaction of an electron with its own spatial density.

$$n_j^H(\mathbf{r}) = \mp e |\varphi_j(\mathbf{r})|^2$$

Thus,

$$\int d\mathbf{r} n_j^H(\mathbf{r}) = \mp e$$

### 1 - particle density matrix :

Define the 1-particle density matrix as:

$$\rho(\mathbf{r}, \mathbf{r}') = M \sum_m \int d\mathbf{x}_1 \cdots d\mathbf{x}_M \psi^*(\mathbf{r}, s, 2, \dots, M) \psi(\mathbf{r}', s, 2, 3, \dots, M)$$

$$n(\mathbf{r}) = \rho(\mathbf{r}, \mathbf{r})$$

In HF theory,

$$\rho(\mathbf{r}, \mathbf{r}') = \sum_k \sum_m \varphi_k^*(\mathbf{r}, m) \varphi_k(\mathbf{r}', m) = \sum_k \sum_{m, m'} \varphi_k^*(\mathbf{r}, m) \varphi_k(\mathbf{r}', m')$$

Exchange energy:

$$\begin{aligned} K &= -\frac{1}{2} \sum_{k, \ell} \int d\mathbf{x} d\mathbf{x}' \frac{\varphi_k^*(\mathbf{x}) \varphi_\ell^*(\mathbf{x}') \varphi_\ell(\mathbf{x}) \varphi_k(\mathbf{x}')}{|\mathbf{r} - \mathbf{r}'|} \\ &= -\frac{1}{2} \sum_{k, \ell} \sum_{m, m'} \int d\mathbf{r} d\mathbf{r}' \frac{\varphi_k^*(\mathbf{r}, m) \varphi_\ell^*(\mathbf{r}', m') \varphi_\ell(\mathbf{r}, m) \varphi_k(\mathbf{r}', m')}{|\mathbf{r} - \mathbf{r}'|} \\ &= -\frac{1}{2} \int d\mathbf{r} d\mathbf{r}' \left( \sum_{k, m} \varphi_k^*(\mathbf{r}, m) \varphi_k(\mathbf{r}', m) \right) \left( \sum_{\ell, m'} \varphi_\ell^*(\mathbf{r}', m') \varphi_\ell(\mathbf{r}, m') \right) \\ &= -\frac{1}{2} \int d\mathbf{r} d\mathbf{r}' \frac{\rho(\mathbf{r}, \mathbf{r}') \rho(\mathbf{r}', \mathbf{r})}{|\mathbf{r} - \mathbf{r}'|} \\ &= -\frac{1}{2} \int d\mathbf{r} d\mathbf{r}' \frac{|\rho(\mathbf{r}, \mathbf{r}')|^2}{|\mathbf{r} - \mathbf{r}'|} \end{aligned}$$

### MØller-Plesset Perturbation Theory:

Take  $H_0 = \sum_{k=1}^M F_k$  = Total HF Hamiltonian.

Then, a perturbation can be defined by

$$H_e = H_0 + H'$$

where  $H' = H_e - H_0$  and  $H_e$  is the exact Hamiltonian:

$$H_e = -\frac{1}{2} \sum_{k=1}^M \nabla_k^2 + \sum_{k=1}^M V_{ext}(\mathbf{r}_k) + \frac{1}{2} \sum_{k \neq \ell} \frac{1}{|\mathbf{r}_k - \mathbf{r}_\ell|}$$

$$H' = \frac{1}{2} \sum_{k \neq \ell} \frac{1}{|\mathbf{r}_k - \mathbf{r}_\ell|} - \sum_k \sum_\ell [J_\ell(\mathbf{x}_k) - K_\ell(\mathbf{x}_k)]$$

$$H_0 = -\frac{1}{2} \sum_{k=1}^M \nabla_k^2 + \sum_{k=1}^M V_{ext}(\mathbf{r}_k) + \sum_{k,\ell} [J_\ell(\mathbf{x}_k) - K_\ell(\mathbf{x}_k)]$$

In perturbation theory, recall:

First order energy correction is

$$E^{(1)} = \langle \psi_0 | H' | \psi_0 \rangle$$

while the zeroth order energy is  $E^{(0)} = \langle \psi_0 | H_0 | \psi_0 \rangle$ .

$$E^{(0)} + E^{(1)} = \langle \psi_0 | H_0 + H' | \psi_0 \rangle = \langle \psi_0 | H_e | \psi_0 \rangle$$

But this is the original energy functional we varied to solve the HF equation.

Second order:

$$E^{(2)} = \sum_{s \neq 0} \frac{|\langle \psi_0^{(s)} | H' | \psi_0 \rangle|^2}{E^{(0)} - E^{(s)}}$$

$|\psi_0^{(s)}\rangle$  created from virtual orbitals used in determinants, i.e. excitations of single-particle orbitals to unoccupied states.